1	Title
2	Insect lifestyle and evolution of brain morphology
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11	Highlights
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13	Insect lifestyles often correlate with variations in different regions of their brain
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15	Co-option and subtle tuning of brain structures might mask neural adaptations
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17	Current methodological advances will reveal subtle brain adaptations to lifestyle
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19	Stronger evidence will come from comparative works informed by lifestyle features
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21	Abstract
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23	Insect lifestyles are extremely diversified and have important consequences for brain function.
24	Lifestyle determines the resources and information that brains have access to, but also those
25	that are required, to produce adaptive behaviors. Most of the observed adaptations of brain
26	morphology to lifestyle are related to the first stages of sensory information processing (e.g.
27	adaptations to diel habits). However, morphological signatures of lifestyles related to higher
28	order processing of information are more difficult to demonstrate. Co-option of existing neural
29	structures for new behaviors might hinder the detection of morphological changes at a large
30	scale. Current methodological advances will make it possible to investigate finer structural
31	changes and might shed light on whether or not some lifestyles (e.g. eusociality) require
32	morphological adaptations.
33	

#### 35 Introduction

36

37 Insects offer an extraordinary opportunity to study brain evolution: they are extremely abundant 38 and diversified, and possess miniaturized brains that can teach us about the essential structures 39 that process information and coordinate behavior [1]. Lifestyles categories (diurnal/nocturnal, 40 solitary/social, etc.) are organized around common ecological factors and behavioral strategies, 41 and have an important effect on brain function: they determine the resources and information 42 that brains need and have access to in order to produce adaptive behaviors (Fig 1A). Within the 43 limits imposed by each species' phylogenetic history, physiological (e.g. neuromodulation) and 44 morphological (e.g. circuit remodeling) adaptations may show common trends in animals 45 sharing a given lifestyle. This review will focus on the second, which are typically easier to 46 compare across species. We will discuss the evidence found for some lifestyles and suggest 47 possible approaches for tackling lifestyles without clear links to brain morphology. In 48 vertebrates, some neuroanatomical changes do correlate with different lifestyles related to diet, 49 sociality or habitat [2-5]. The great diversity of insects poses a considerable challenge to find 50 common trends, but also opens a unique opportunity to uncover general features governing 51 brain morphology.

52

The insect brain is a mosaic of sub-regions involved in different functions, from sensory and higher-order information processing to coordination of behavior [1] (Fig 1B). Despite a considerable morphological variability in size, shape and organization, a common plan in the insect brain allows us to identify comparable sub-regions across taxa [6–9]. After controlling for the phylogenetic history, variations in relative investment in different subregions [8,10–12], internal organization [13–17], connectivity between regions [18] and neural circuits [19] might reflect neural adaptations to the species' lifestyle.

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61 To elucidate which (if any) morphological traits vary as an adaptation to insect lifestyle, intra-62 specific approaches take advantage of lifestyle changes over the course of an individual's life, 63 across specialized morphs in polymorphic species, or through experimental manipulations. 64 Other approaches are based on comparative analysis of species with different lifestyles. Intra-65 specific studies might give valuable insight about the flexibility of brain traits, but inter-specific 66 ones, carefully performed in a variety of taxa, will eventually identify morphological variations 67 selected through evolution. For a more successful outcome, both approaches need to 68 complement each other and build on the current knowledge of the insect brain function at

69 physiological and morphological levels, especially in model insects, where more tools are

70 available [7,20-23].

71

72 Successful studies require a clear link between a lifestyle and a particular area or circuit of the 73 brain. Given our limited understanding of brain function, this link is easier to establish for brain 74 regions and functions in the first stages of sensory processing (Fig. 1A). The clearest trends 75 have been found in these regions, but thanks to the current technical advances (in imaging, 76 transcriptomics, connectomics, etc.) more challenging links between lifestyle and morphological 77 and molecular features of the brain are starting to be disentangled.

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#### 79

## Primary sensory processing as a strong predictive factor

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81 The relationship between visual information and neuroanatomical adaptations provides a good 82 example of clear co-evolution of lifestyles and brain morphology. Light availability strongly 83 affects investment in visual processing structures in two main ways. First, overall investment in 84 visual neuropils is higher when there is more light, a trend linked to lifestyles both through 85 habitat and diel habits. We find, for example, that above-ground army ant species (whose visual 86 system is poorly developed) have larger optic lobes (primary visual processing neuropils; OL) 87 and mushroom bodies (high order, often multisensory, processing neuropils; MB) relative to 88 overall brain size than the subterranean ones [24]. Some subterranean ants and cave beetles 89 even completely lack eyes and visual neuropils [25,26]. Regarding light availability above 90 ground, many species adapted to low light conditions (nocturnal and crepuscular vs diurnal) 91 invest less in visual neuropils and more in olfactory ones [10,8,11,12]. This stronger investment 92 in other senses, such as olfaction, may be a way to compensate the lack of light with other 93 sources of information and/or a consequence of trade-offs within parts of the nervous system. 94 Such trade-offs might be suggested by a comparative study in crepuscular Drosophila species 95 that shows a negative correlation between the sizes of the OL and the antennal lobe (primary 96 olfactory processing neuropil, AL) [27]. However, there is not enough ecological and behavioral 97 information about these species (such as diel habits or sensory modalities involved in host plant 98 detection) to rule out a compensatory role.

99

100 A second general trend is that animals exposed to low light levels develop enhanced sensitivity 101 [28], which leads to a higher relative investment in the first visual stages. The lamina is the first 102 OL neuropil, and it is important for contrast and motion detection. While the relative size of the

103 rest of the OL is smaller in nocturnal/crepuscular than in diurnal species, the lamina's relative 104 size is not reduced and sometimes is even larger [10,29]. This different investment in the first 105 and subsequent visual processing stages might be facilitated by a peculiarity of the synaptic 106 organization in the lamina of some nocturnal and crepuscular species, whose lamina monopolar 107 cells connect more cartridges than in diurnal ones [30,31], supporting a neural summation of the 108 light signal and enhancing sensitivity [32]. This neural summation might reduce the lamina's 109 output to the downstream regions and therefore their size, explaining why some insects with 110 large eyes and large lamina have smaller than expected medulla and lobula [8,29]. A 111 comparative study in dung beetles combined anatomy with behavioral manipulations showed 112 more adaptations of the lamina to nocturnal lifestyle. By forcing them to navigate in the non-113 preferred activity period, the study revealed that the diurnal species use celestial bodies at day 114 and night (the sun and the moon) and nocturnal species preferentially use polarized light at 115 night and the sun at day [33]. Interestingly, only the nocturnal species seem to present a 116 particular lamina region, the lamina dorsal rim area, related to polarization vision in other insects 117 [8].

118

Besides inter-species comparative studies, analyses of individuals of the same species show
similar trends. In social insects, individuals specialized in tasks inside the nest have smaller OL
than those that also labor outside [34–37]. In species where there is a maturity transition of
individuals between under- and above-ground activities, brain volumetric and synaptic
modifications in primary and/or high order visual processing brain regions also take place [38–
40]. Even experimental manipulations might produce the same effect: Light deprivation during
adult maturation leads to reduced OL volumes in *Drosophila melanogaster* [41].

127 Besides light levels, other features of an insect's visual landscape can be traced to 128 morphological adaptations. One of these features (which probably interacts with the complexity 129 of the environment) seems to be the speed at which interesting stimuli move. Many insects that 130 need fast and accurate reactions seem to invest more heavily in vision, which relays near-131 instantaneous and precise information about the environment, and has fewer constraints than 132 other fast senses such as mechanosensation, which requires physical connection. Vision is 133 therefore very relevant for many predatory insects, and their visual neuropils often present 134 modifications adapted to their behavioral strategies. Mantises, capable of stereopsis (3D vision), 135 have large eyes and large OL with highly differentiated medulla and lobula complex [17]. These 136 neuropils host neurons sensitive to binocular disparity and their connections to the central brain

137 and the contralateral lobula complex constitute a neuronal support for stereopsis [42]. 138 Dragonflies and damselflies also have very large eyes and OL. Their eye morphology and 139 hunting strategies are very different, but the anatomy of their target-selective descending 140 neurons is very similar, suggesting a robust adaptation to predation of this conserved visual 141 circuit [43]. Even in ants, which rely mainly on olfaction, predatory species are highly visual 142 [26,44], with their medulla showing a columnar structure observed in other visual insects but not 143 common in other ants [45]. Some beetle larvae are ferocious predators presenting specialized 144 visual systems and hunting strategies. Larvae ambushing their prey present a lobula plate 145 equipped with motion sensitive neurons, which is absent in species with other strategies [46]. 146 Additionally, flying insects seem to highly rely on vision: In ants, winged individuals 147 (reproductive males and females) have bigger optic lobes than wingless walking workers 148 [47,48]. While compelling, this evidence about the influence of predatory and flying lifestyles on 149 vision are largely based on single-species observations, and we still lack comparative studies 150 with carefully controlled phylogeny that would confirm the link. 151

All these studies have in common a clear understanding of how a lifestyle influences information
availability and needs, and a clear link with first-order sensory processing brain regions. We will
next discuss the challenges involved when dealing with more complex relations between
lifestyles and brain morphology.

156

### 157 Beyond primary sensory processing

158

159 Sometimes it is difficult to identify the brain structural changes that might accompany some

160 lifestyles. There are two main challenges: 1) some lifestyles cannot be easily dissected in

simple quantifiable components, and 2) the neural substrate changes for a given

162 behavior/lifestyle may be very subtle.

163

The first challenge is the difficulty of dissecting complex lifestyles in components that can be traced to particular hypotheses. This is the case, for example, of the application of the social brain hypothesis in insects. This hypothesis states that the additional sources of information related to sociality might increase the cognitive load on the individuals, and therefore, the investment in brain regions to process them. In vertebrates, group size and pair bonding was associated with larger brains [2]—although this hypothesis is contested in primates: other factors, such as diet, are better predictors of brain volume than social variables [4]. In insects, 171 some evidence supports the idea that social species process more information; for example, 172 solitary bees invest less in antennal sensilla than social species [49]. However, individuals in a 173 group can specialize in different tasks or use simple collective strategies, so at high levels of 174 sociality we might encounter the opposite trend, with reduced brain structures [50]. This is 175 consistent with findings in monomorphic (similar size and morph for all the individuals of the 176 worker caste) Dolichoderinae ant species, in which workers from smaller colonies invest more in 177 the AL than those from larger colonies [51]. Most research on this topic has focused on the MB, 178 which was suspected to reflect social complexity due to its great development in social 179 Hymenoptera and Dictyoptera. However, some solitary species also possess elaborated MB 180 [52] and comparative studies indicate that there is no clear correlation between MB size and 181 social complexity [50,53].

182

Social complexity has many different features, and many have suggested that comparative 183 184 approaches must focus on single components (parental care, reproductive division of labor, 185 polymorphism, etc.) and on functional neuronal traits (circuits, cells and synapses instead of 186 only relative neuropil volumes), without forgetting alternative or complementary hypotheses 187 (such diet shifts or foraging behavior) [54,55]. Successful results have been obtained by, for 188 example, focusing on individual specialization through division of labor. In bees, brain 189 differences between males and females are less prominent in solitary species than in eusocial 190 ones [56,57]. In primitively eusocial bees and wasps, where reproductive division of labor is 191 achieved by dominance, queens have bigger MB than workers [58,59], but there is an opposite 192 tendency in more advanced eusocial species [48]. In monomorphic acacia ants, defensive 193 workers show reduced MB in comparison with leaf collectors [60]. Ant polymorphic species 194 provide even more examples of brain specialization [36,48,61,62].

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The second challenge consists of dealing with radical behavioral changes with little
morphological signature. Some of these cases result from the co-option of sensory structures
and neural circuits that were already present for related behaviors and could be adapted with
minimal tuning.

200

Some possible co-options or circuit tunings might have happened for the adaptation to social
 lifestyles. The expansion of the MB seems to precede the apparition of sociality [52], but it might
 have been instrumental for it. For example, central place foraging requires a strong capacity for
 spatial learning, which is supported by the MB processing. The AL might be another good

example: they are present in most insects, but they play a fundamental role for the recognition
among colony members (through detection of cuticular hydrocarbons). Ants and hornets, from
lineages where eusociality evolved independently, seem to share the same olfactory subsystem
detecting long-chain hydrocarbons, suggesting a possible common origin of this system in the
solitary ancestor [63].

210

211 Another example can be found in lifestyles requiring long distance migratory behavior, which 212 likely requires adjusted sensory and motor control systems allowing a sustained and oriented 213 navigation. The central complex (CX), anterior optic tubercles (AOTU) and lateral complex (LX) 214 are involved in navigation and compass orientation. Intraspecific comparisons in locusts and 215 butterflies show that the CX (or the relative investment in some parts of it) is larger in mass 216 migratory than in solitary and in non-migratory individuals [64,65]. At the inter-specific level, a 217 comparative study between migratory and non-migratory moths shows that their CX is very 218 similar, and instead, some differences exist in the AOTU and the LX [66], the input and output 219 relay stations of the CX. These results suggest that, instead of investing more on some 220 structures, migratory insects might have co-opted and/or tuned sensory and motor circuits 221 involved in general navigational abilities, required by all insects.

222

# 223 Conclusions and perspectives

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Understanding how brain morphology and lifestyle diversity have co-evolved requires dissecting insect lifestyle in its different requirements for information processing and the analysis of their effects on measurable neural traits. Volumetric analyses have provided substantial advances and insight in this topic. However, general trends among taxa are not always observable with this methodology, either because of phylogenetic constraints or because adaptations might be physiological or take place at other morphological levels (such as the connectivity between structures, neural circuits or even small synapsis remodeling).

232

This field is currently experiencing the opening of new opportunities thanks to methodological advances in imaging [67,68], connectomics [22,23,69] or transcriptomic [70], which might allow us to detect more subtle changes and common patterns across lifestyles. Additionally, the creation of databases of neuroanatomical atlases of different species (such as www.insectbraindb.org) and the comparative study of insect life histories with phylogenetic

238	approaches constitute the perfect ground to disentangle how brain morphology varies adaptively
239	with insect lifestyle.

240

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242

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- 510 Figure and figure caption





516 and function. Brains process information through different steps (sensory processing, high-517 order processing, and motor control) to produce behaviors. Lifestyles affect the information 518 that is available, the behaviors required for survival, and the information needed to produce 519 them. Additionally, lifestyles also limit the resources to which the animal has access and that 520 are needed to sustain the brain. Finally, brain morphology and function is also affected by 521 the constraints imposed by the phylogenetic history of the animal. B. Simplified 522 representation of an insect brain morphology and related functions (based on bee brain, but 523 the same structures are present in most insects). The most studied regions are highlighted 524 and linked to a general description of their information processing. In grey, examples of 525 found modifications of brain regions according to lifestyle and their references.