

1 **Larger aboveground neighbourhood scales maximise similarity but not**  
2 **eliminate discrepancies with belowground plant diversity in a**  
3 **Mediterranean shrubland**

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17 shrubland; coexistence

18

## 19 **Abstract**

### 20 **Aims**

21 An unresolved question in plant ecology is whether diversity of the aboveground and  
22 belowground compartments of a plant community is similar at different neighbourhood  
23 scales. We investigated how the similarity between both compartments varies with the  
24 aboveground sampling grain and if significant discrepancies exist between aboveground and  
25 belowground plant diversity at the maximum similarity scale.

### 26 **Methods**

27 We fully mapped the perennial plant community of a 64 m<sup>2</sup> plot in a Mediterranean shrubland  
28 and analysed the aboveground compartment by assessing diversity in 5 to 50 cm radii circles  
29 centred in soil cores. We sampled 2.5 cm radius root cores at two different depths and  
30 identified plant species by using DNA *metabarcoding* to characterise the belowground  
31 compartment. We quantified differences in species richness, composition and species' spatial  
32 distribution above- and belowground.

### 33 **Results**

34 The differences between aboveground and belowground were affected by the size of the  
35 aboveground sampling grain and were minimised when considering a circle of 20 cm radius  
36 in the aboveground. We found a significant dissimilarity in richness and composition between  
37 the two compartments, with larger differences when considering the deeper soil layer only.

## 38 Conclusions

39 Our results showed that the spatial grain selected to sample a plant community aboveground  
40 and belowground is critical to characterise them in a comparable manner. Although their  
41 composition is related, species distribution patterns strongly differ, suggesting the  
42 simultaneous action of different assembly mechanisms. Our results call for caution when  
43 studying community assembly considering only the standing vegetation, since total plant  
44 diversity can be underappreciated.

45

## 46 Abbreviations

47  $\Delta R$  = Richness dissimilarity

48  $J$  = Jaccard dissimilarity index

49 AR = Aboveground Richness

50  $AR_5$  = Aboveground Richness at 5 cm radius grain

51  $AR_{20}$  = Aboveground Richness at 20 cm radius grain (similarity peak)

52 BR = Belowground Richness

53  $BR_{0-30}$  = Belowground Richness at 0-30 cm of depth

54  $BR_{0-10}$  = Belowground Richness at 0-10 cm of depth

55  $BR_{10-30}$  = Belowground Richness at 10-30 cm of depth

56 OTU = Operational Taxonomic Unit

57

## 58 Introduction

59 Roots are an important fraction of total ecosystem biomass in all vegetation types (Mokany et  
60 al. 2006). This is especially evident in stressful habitats such as water-limited environments,  
61 where plant root:shoot ratios are significantly higher than in more benign conditions (Schenk

62 and Jackson 2002; Walter 1963). As early as in the 1960's, some authors tried to determine  
63 the relative weight of shoots and roots in plant communities (see *e.g.* Bray 1963; Davidson  
64 1969). However, the lack of straightforward, feasible sampling techniques, strongly limited  
65 the integration of belowground information in the toolbox of plant community ecologists  
66 (Rewald et al. 2012). Recent advances in molecular techniques such as DNA metabarcoding,  
67 which allows the simultaneous identification of multiple taxa through next generation  
68 sequencing, are changing this scenario (Deiner et al. 2017; Hiiesalu et al. 2012). This  
69 powerful molecular tool has opened new venues to explore the hidden compartment of plant  
70 communities by identifying all the species present in root mixtures, and potentially, also their  
71 relative biomass partition (Matesanz et al. 2019). Incorporating a detailed characterization of  
72 the belowground compartment into the study of plant communities can help to unveil  
73 mechanisms controlling community assembly at fine spatial scales (Pärtel et al. 2012). In  
74 addition, it can be basic for the estimation of total plant diversity, which represents a priority  
75 in conservation ecology because of the known linkages between biodiversity and ecosystem  
76 functioning (Cardinale et al. 2012).

77         Only a few studies (*e.g.* Hiiesalu et al. 2012; Kesanakurti et al. 2011; Träger et al.  
78 2019), have jointly assessed richness and composition of both the above- and belowground  
79 compartments of plant communities. A general pattern that emerged from these studies are the  
80 discrepancies in species richness, since the number of species is generally higher  
81 belowground than aboveground (*e.g.* Hiiesalu et al. 2012; Jones et al. 2011). This could be  
82 explained by several concomitant factors, including a higher prospective ability of roots in  
83 space and time, and a greater heterogeneity in the distribution of soil resources and conditions  
84 compared with those in the aboveground, which could in turn promote more opportunities for  
85 niche diversification (Pärtel et al. 2012). Although some studies (*e.g.* Kesanakurti et al. 2011;  
86 Li et al. 2017) observed similarity on the species distribution between the above- and

87 belowground compartments (both in terms of presence-absence and abundance), they also  
88 reported a general asymmetry in species frequencies between both compartments and a sharp  
89 segregation of species with soil depth. Consequently, the arising paradigm is that species  
90 diversity and distribution observed aboveground are different from those belowground, thus  
91 limiting its value as a robust and integrative proxy of the total diversity structure in the plant  
92 community.

93         The species distribution asymmetry often observed in plant communities could be  
94 related to different processes that structure diversity in the two compartments. Some authors  
95 (*e.g.* Casper and Jackson 1997) suggested that plant to plant interactions may be more  
96 important and frequent belowground than aboveground. Price et al. (2012), however,  
97 suggested that such interactions (*e.g.* competition) are possibly more important in the  
98 aboveground, while abiotic factors, such as soil heterogeneity, would affect more directly  
99 species' patterns belowground. In this sense, it has been hypothesised that the mechanisms  
100 underlying patterns of richness and composition aboveground and belowground may act at  
101 different spatial scales (Pärtel et al. 2012). If true, this would suggest that the observed  
102 similarity (or dissimilarity) in species patterns between both compartments could vary along  
103 both horizontal and vertical spatial scales.

104         Previous studies exploring similarity between both compartments performed their  
105 comparisons at the same spatial scale (*i.e.*, using the same sampling grain, Hiiesalu et al.  
106 2012; Träger et al. 2019) or, alternatively, used different scales in the aboveground and  
107 belowground (Frank et al. 2010; Kesanakurti et al. 2011; Li et al. 2017), without any  
108 assessment of whether it was the most appropriate scale for comparison. Therefore, it cannot  
109 be excluded that the dissimilarities observed between the two compartments are simply a  
110 consequence of the sampling grain used. Furthermore, several studies (*e.g.* Hiiesalu et al.  
111 2012; Träger et al. 2019) only sampled the most superficial soil layer (up to 10 cm), standing

112 on the fact that the greater portion of root biomass is usually found in the most superficial part  
113 of the soil (Kesanakurti et al. 2011). However, sampling only the top layer, particularly on  
114 habitats characterised by deep root systems (see Schenk and Jackson 2002) could limit our  
115 understanding of how belowground and aboveground communities are structured.  
116 Accordingly, to assess whether a robust characterization of the entire plant community may  
117 be done using only the information of the aboveground compartment (or alternatively, the  
118 belowground), it is critical to first determine whether these communities differ. In this sense,  
119 firstly identifying the spatial scales and soil depths that maximise the similarity between the  
120 two compartments could be crucial.

121 In this study, we compared species richness and composition in the aboveground and  
122 belowground compartments of a rich Mediterranean shrubland, considering different  
123 aboveground sampling grains and soil depths. We conducted a spatially-explicit approach on  
124 a fully mapped Mediterranean dwarf shrubland in combination with DNA metabarcoding of  
125 the root fraction, to provide a high resolution of both aboveground and belowground  
126 compartments. Fully mapping the aboveground community allowed the subsequent  
127 application of different aboveground sampling grains to identify the scale at which the  
128 similarity with the belowground compartment is maximised. Because soil heterogeneity may  
129 differentially affect plant distribution both aboveground and belowground, we also evaluated  
130 how soil composition affects diversity in both compartments. Specifically, we ask: (1) Do  
131 species richness, composition and distribution differ between the aboveground and  
132 belowground compartments of the plant community? (2) Which is the aboveground sampling  
133 grain maximising similarity between the aboveground and belowground compartments? And,  
134 finally (3), how does soil heterogeneity affect the two plant compartments?

135

## 136 **Methods**

## 137 **Study area and sampling design**

138 This study was conducted in a species-rich Mediterranean shrubland located in the south-  
139 easternmost part of Madrid province (Spain) (40°17'17.5" N 3°12'19.4" W, 760 m asl). The  
140 plant community is dominated by chamaephytes and hemicritophytes (mostly < 50 cm in  
141 maximum height), and it occurs in calcareous soils with a variable content of gypsum. This  
142 creates a patchy environment with many species, varying from gypsophiles, such as  
143 *Helianthemum squamatum* (L.) Dum. Cours, *Thymus lacaitae* Pau, *Centaurea hyssopifolia*  
144 Vahl, *Arenaria cavanillesiana* (Font Quer & Rivas Goday) Nieto Fel. and *Ononis tridentata*  
145 L., to gypsovags and calciphylous plants (both on and off gypsum soils) as *Bupleurum*  
146 *fruticescens* L., *Thymus vulgaris* L., *Linum suffruticosum* L., *Helianthemum cinereum* Pers.,  
147 *Stipa pennata* L., *Salvia lavandulifolia* Vahl and *Lithodora fruticosa* (L.) Griseb (Escudero et  
148 al. 2015).

149 In May 2016, we established an 8 × 8 m (64 m<sup>2</sup>) plot (10% of slope) in a  
150 representative and well conserved area (Fig. 1), *i.e.*, without recent evidences of human  
151 impact, and all the aboveground perennial individuals were mapped (at their centroid or  
152 rooting point) with centimetric resolution using a Leica Viva GS15 system (Leica, Wetzlar,  
153 Germany) (see *e.g.* Chacón-Labela et al. 2017). We also measured their major perpendicular  
154 diameters (length and width) and the maximum height of each plant (excluding the  
155 reproductive shoots). The crown of each individual was represented by a circle with diameter  
156 equal to the average of its major diameters. In addition, 64 sampling points were located on  
157 the nodes of a regular 1 × 1 m grid. In order to incorporate a finer spatial scale, 30 additional  
158 points were sampled in a similar 1 × 1 m grid offset 0.5 m from the first grid (see Fig. 1). To  
159 account for different aboveground neighbourhood scales, we sampled the aboveground  
160 community with circles centred in the location of each sampling point considering eight  
161 different sampling grains, *i.e.*, with radii varying from 5 to 50 cm. For each point, we

162 recorded all aboveground plants (thereafter converted to presence-absence data) whose crown  
163 was included within or intersected with the sampling circle (see Fig. 1). We sampled the  
164 belowground plant community in the same plot during the first two weeks of June 2016, just  
165 after the aboveground sampling was finished. We collected 94 soil cores, each in every  
166 sampling point (5 cm of diameter, 30 cm of depth; root cores hereafter). Specifically, each  
167 root core was separated in two subsamples: the superficial fraction, between 0 and 10 cm, and  
168 the deeper fraction ranging from 10 to 30 cm, which is reported to include at least 50% of the  
169 total root biomass in most environments including Mediterranean shrublands (Schenk and  
170 Jackson 2002b), rendering a total of 188 root samples. In addition, to account for soil  
171 heterogeneity in the plot, in September 2016 we collected 84 soil cores reaching a depth of 10  
172 cm, which were located adjacent to the root cores (see Fig. 1).

173

#### 174 **Root cores processing and soil properties analysis**

175 Upon collection in the field, root samples were placed in a cooler, maintained at 4°C and  
176 processed within 48 hours since collection, to avoid DNA degradation. We carefully washed  
177 all roots contained in each root sample, filtering them with a 1 mm mesh sieve. Then, we  
178 centrifuged roots at 3000 rpm for 30 seconds to remove excess water and weighed them to  
179 obtain fresh root biomass per root sample. Then, we thoroughly mixed the root fragments in  
180 each sample. From each sample, we took a subsample of 100 mg, snap-froze it with liquid  
181 nitrogen and stored it at -80°C until DNA extraction.

182 Even though biochemical properties could be potentially altered on air-dried soil  
183 samples, Zornoza et al. (2009) showed that biochemical properties from Mediterranean semi-  
184 arid soils are stable in the medium-term in stored air-dried soil samples. Therefore, for  
185 practical reasons, the soil cores were air-dried for four weeks and stored for subsequent  
186 analysis. Then, they were sieved (2 mm mesh size) to determine both physical and chemical



187 soil properties of the finest fraction. Texture was estimated following the Kettler et al. (2001)  
188 method. Electrical conductivity and pH were measured in deionised water, in a proportion of  
189 1:2.5 and 1:5 (mass/volume), respectively, by using a conductivity meter GLP 31 and a pH  
190 meter GLP 21 (Crison, Barcelona, Spain). Soil organic C (SOC) was estimated by a wet  
191 oxidation procedure according to Yeomans and Bremner (1988). Total N and extractable P  
192 were estimated by Kjeldahl digestion (Anderson and Ingram 1993), while total K was  
193 determined applying Radojević and Bashkin (1999) methodology. Moreover, we quantified  
194 key soil enzymatic activities as an estimation of the current microbiome soil dynamics, which  
195 are relevant for soil quality assessment and functioning (Adetunji et al. 2017), by applying the  
196 techniques described by Eivazi and Tabatabai (1988) and Tabatabai and Bremner (1969), for  
197 the measurement of  $\beta$ -glucosidase activity and acid phosphatase, respectively.

198

### 199 **Root identification through DNA metabarcoding**

200 To identify all the plant species in each root sample, we used DNA metabarcoding using the  
201 *rbcL* gene as barcode (see Matesanz et al. 2019). We built a complete in-house reference  
202 library for the identification of species in the study plant community, considering at least 95%  
203 of the perennial species rooting in the sampled plot. In addition, to account for either the  
204 occurrence of perennial organs belowground or roots from plants with their aerial part outside  
205 the plot, we also included other species which were not present in the plot but occurred in the  
206 surroundings. The final database contained the *rbcL* reference sequences of 45 species. A  
207 detailed description of the metabarcoding pipeline is provided in Methods S1 (Online  
208 Resource 1). Briefly, as a first step, DNA was extracted using the DNeasy Plant Mini Kit  
209 (Qiagen, CA, USA) in the lab at Universidad Rey Juan Carlos, including negative controls for  
210 each extraction batch. Afterwards, DNA extractions were processed in the AllGenetics  
211 laboratories (AllGenetics & Biology SL, A Coruña, Spain). We amplified a fragment of the

212 *rbcL* chloroplast gene using primers *rbcLa-F* (5' ATG TCA CCA CAA ACA GAG ACT  
213 AAA GC 3'; Levin et al. 2003) and *rbcLa-R* (5' GTA AA ATC AAG TCC ACC RCG 3';  
214 Kress et al. 2009). A first PCR was performed to amplify the selected fragment of the *rbcL*  
215 chloroplast gene. A second PCR was required to attach the Illumina index sequences for  
216 multiplexing distinct libraries in the same sequencing pool. Four negative controls that  
217 contained no DNA were included to check for contamination during library preparation. The  
218 pool was sequenced in a run of the MiSeq PE300 (Illumina). Then, samples were  
219 demultiplexed, removing indexes and sequencing primers. Sequences were then dereplicated,  
220 clustered at a similarity threshold of 100% and sorted. The taxonomical assignment was  
221 performed by querying the clustered sequences against the in-house reference library in  
222 VSEARCH (usearch global option) with a 99% similarity threshold. The output was a table  
223 listing the number of sequences from each OTU found in each sample. We removed the  
224 OTUs with a number of sequences lower than 0.005% of the total number of sequences  
225 (Bokulich et al. 2013) to apply a quality filtering. Finally, we removed those OTUs that did  
226 not match any reference sequence in the database at a similarity of 99% and remained  
227 unidentified ('No hit'). These OTUs accounted for an average of 9.4% of the total reads  
228 before filtering. We corroborated by blasting them in GenBank that at least the 70% of them  
229 corresponded to bryophytes or *Thymus* sp. sequences of lower quality. Finally, we converted  
230 the OTUs abundance table into a species presence-absence table for subsequent analysis.

231

## 232 **Statistical analysis**

233 Similarities between the two plant community compartments were quantified as differences in  
234 terms of species richness and composition. In each sampling point (*i.e.*, around each root  
235 core), we calculated the richness and composition dissimilarities ( $\Delta R$  and  $J$  hereafter,  
236 respectively) between the aboveground and belowground compartments, for each horizontal

237 (aboveground sampling grain) or vertical (soil depth) scale considered in the study.  $\Delta R$  was  
238 calculated as the absolute difference between aboveground and belowground richness  
239 (hereafter AR and BR, respectively), *i.e.*  $\Delta R = |AR - BR|$ . Species composition similarity  
240 between aboveground and belowground compartments was calculated the with the Jaccard  
241 dissimilarity index as:

$$242 \quad J = (b + c) / (a + b + c),$$

243 where  $a$  is the number of species present in both compartments,  $b$ , the number of species  
244 present only aboveground and  $c$ , the number of species present only belowground. To assess  
245 for significant differences in Jaccard (J index) and richness differences ( $\Delta R$ ) between  
246 aboveground grains, for the three possible depths (0-10, 10-30 and 0-30 cm), we performed  
247 Tukey tests, when the variables had a normal distribution, and Dunn's tests when this was not  
248 feasible.

249         Once identifying the aboveground sampling grain with maximum similarity, we  
250 carried out the comparison between aboveground and belowground compartments  
251 considering this spatial scale. We performed a Mann-Whitney-Wilcoxon test to evaluate  
252 differences between aboveground richness and belowground richness estimated at different  
253 depths: i) 0-10 cm ( $BR_{0-10}$ ), ii) 10-30 cm ( $BR_{10-30}$ ) and iii) 0-30 cm ( $BR_{0-30}$ ). We tested the  
254 correlation between aboveground and belowground richness, *i.e.*,  $BR_{0-10}$ ,  $BR_{10-30}$  and  $BR_{0-30}$ ,  
255 using Kendall rank correlation coefficients (Kendall 1976). Differences in species  
256 composition between the aboveground and belowground compartments were also evaluated at  
257 the three different depths, 0-10, 10-30 and 0-30 cm. For this, we carried out a PERMANOVA  
258 analysis (Anderson 2001). Moreover, we assessed the number of species shared between the  
259 two compartments (shared richness), those appearing only aboveground (additional  
260 aboveground richness), and, finally, the species found only belowground (additional  
261 belowground richness), considering all three different depths. For this estimation, we also

262 considered the 5 cm radius grain and the 0-10 cm layer belowground, as it is the most  
263 commonly used in previous studies (*e.g.* Hiiesalu et al. 2012; Träger et al. 2019).

264 To assess the existence of a spatial concordance of individual species between the  
265 aboveground and belowground compartments, we implemented two complementary tests.  
266 First, for each species, we quantified its frequency in the 94 aboveground and belowground  
267 samples, for all the soil layers (0-10, 10-30 and 0-30 cm) and tested for differences in species'  
268 frequencies with Pearson's *Chi*-squared tests. Second, we explored spatial correspondence for  
269 each species between aboveground and belowground (again for the 0-10, 10-30 and 0-30 cm  
270 layers) with the McNemar's *Chi*-squared test (Agresti 1990). We controlled the false  
271 discovery rate for multiple testing using the approach of Benjamini and Hochberg (1995).

272 Finally, we analysed the effect of soil heterogeneity on aboveground and belowground  
273 richness. Since the effect of soil heterogeneity could change with the sampling scale, we again  
274 chose two different aboveground sampling grains: i) 5 cm, the most similar scale to that  
275 belowground, ii) and 20 cm radius circles, the scale where we observed the maximum  
276 similarity between the two compartments (see Results), and all different depths (0-10, 10-30  
277 and 0-30 cm). After checking for collinearity, the soil variables considered were sand (%), C,  
278 N, K contents, glucosidase, phosphatase, conductivity and pH. First, we fitted Poisson GLMs,  
279 then we tested models residuals for spatial autocorrelation with Moran's tests and applied a  
280 simulation-based approach for other residual diagnostics (Hartig 2020). In the case of a  
281 significant spatial autocorrelation, we included a distance-weighted autocovariate into the  
282 model (F. Dormann et al. 2007). As in most cases (with the exception of AR at the 5 cm  
283 scale) we found a significant under-dispersion in the GLM, we fitted a VGLM following  
284 Hilbe (2014).

285 All statistical analyses were conducted in R (R Core Team 2020). Wilcoxon tests,  
286 correlation analysis, Pearson's and McNemar's *Chi*-squared tests, false discovery rate

287 correction and GLMs were respectively performed with functions *wilcox.test*, *cor.test*,  
288 *chisq.test*, *mcnemar.test*, *p.adjust* and *glm* of the stats package (R Core Team 2020). Jaccard  
289 dissimilarity and PERMANOVA analysis were performed, respectively, with functions  
290 *vegdist* and *adonis* in the *vegan* package (Oksanen et al. 2019). Moran's tests and distance-  
291 weighted autocovariates were computed, respectively, with functions *moran.test* and  
292 *autocov\_dist* in the *spdep* package (Bivand and Wong 2018). Residual diagnostics were  
293 computed with *simulateResiduals* and *testDispersion* functions in the DHARMA package  
294 (Hartig 2020). VGLMs were fitted with the *vglm* function in the VGAM package (Yee 2010).

295

## 296 **Results**

297 We mapped a total of 8551 perennial individuals aboveground, belonging to 45 species. In the  
298 belowground compartment, we retrieved a total of 1701120 sequence reads and assigned  
299 taxonomically 90.6% of them to species in our reference database. We identified a total of 30  
300 taxa belowground, 26 at the species level and four at the genus level (*Thymus* sp., *Stipa* sp.,  
301 *Teucrium* sp. and *Quercus* sp.), which were represented by two different species in the  
302 aboveground. The species that were mapped aboveground but not detected belowground had,  
303 in all cases, very low abundances, accounting together for 3.94% of the total number of  
304 individuals in the aboveground community (see Table S1, Online Resource 2).

305

### 306 **Aboveground and belowground diversity across different spatial scales**

307 The total number of species characterizing the aboveground compartment of the plant  
308 community ranged from 22 to 41, respectively, for the 5 and 50 cm sampling grains. Average  
309 aboveground and belowground richness varied consistently with the aboveground grain and  
310 depth (see Fig. S1, Online Resource 3). The average aboveground richness ranged from 1.61  
311  $\pm 1.59$  (mean  $\pm$  SD) to 16.37  $\pm 3.49$  species per sample, from the 5 to the 50 cm sampling

312 grains, respectively. Average belowground richness was similar in the 0-10 (BR<sub>0-10</sub>) and 10-  
313 30 cm (BR<sub>10-30</sub>) layers, with 6.02 ( $\pm$  1.82) and 6.05 ( $\pm$  2.14) species per sample respectively,  
314 while BR in the complete 0-30 cm (BR<sub>0-30</sub>) core was higher, with 7.90 ( $\pm$  2.08) species per  
315 root core.

316 Richness and composition dissimilarities ( $\Delta R$  and Jaccard index,  $J$ , respectively)  
317 between the above- and belowground (0-30 cm) compartments (see Fig. 2) changed across  
318 aboveground sampling grains but followed a similar pattern. They both were higher at the  
319 smaller and larger sampling grains (*i.e.*,  $\Delta R = 6.29$  and  $J = 0.84$  at 5 cm scale;  $\Delta R = 8.53$  and  
320  $J = 0.64$  at 50 cm scale) while reaching a minimum at 20 cm radius ( $\Delta R = 2.15$  and  $J = 0.53$ ).  
321 When we separately accounted for the 0-10 and 10-30 belowground depths (see Fig. 2),  
322 results were similar, and the 20 cm radius had again the highest match. Even though there  
323 were not significant differences between the 15, 20 and 25 cm aboveground grains for any of  
324 the soil depths considered (see  $P$ -values of Tukey and Dunn's tests in Tables S3 and S4 in  
325 Online Resource 2), we selected the 20 cm radius grain for subsequent analyses, as it was the  
326 grain where the mean similarity was maximised. At the 20 cm radius aboveground and 10 cm  
327 of depth, 42.50% of species were found in both compartments, while 38.94% of them were  
328 found only in the aboveground and 18.55% in the belowground (Fig. 3). Similar results were  
329 obtained for the 10-30 cm layer and the complete 0-30 cm layer (Fig. 3), but species  
330 composition similarity (*i.e.*, shared species) reached a maximum (47.04%) when considering  
331 the 0-30 cm layer, while it was minimised in the 10-30 (38.09%) cm layer. At the 5 cm grain,  
332 the shared species between aboveground and belowground (0-10 cm of depth) were only  
333 18.35% (see Fig. 3), while most of the species were found only belowground, *i.e.*, additional  
334 belowground richness, (75.54%).

335

336 **Similarity between aboveground and belowground compartments**

337 Aboveground richness at the sampling grain with the largest similarity (*i.e.*, 20 cm, AR<sub>20</sub>,  
338 hereafter) did not differ significantly from BR<sub>0-30</sub> (Mann-Whitney-Wilcoxon's test  $P = 0.21$ ).  
339 However, when comparing AR<sub>20</sub> with both BR<sub>0-10</sub> and BR<sub>10-30</sub>, we found significant  
340 differences (Mann-Whitney-Wilcoxon's test  $P < 0.0001$  in both cases). In parallel, Kendall  
341 tests (Fig. S2, Online Resource 3) showed that AR<sub>20</sub> was significantly correlated with both  
342 BR<sub>0-10</sub> and BR<sub>0-30</sub> (BR<sub>0-10</sub>  $R = 0.32$ ,  $P = 0.0008$ ; BR<sub>0-30</sub>  $R = 0.25$ ,  $P = 0.007$ ), while BR<sub>10-30</sub>  
343 was not correlated neither with AR<sub>20</sub> ( $P = 0.18$ ) nor BR<sub>0-10</sub> ( $P = 0.32$ ). In addition, results  
344 from PERMANOVA showed that species composition differed significantly between the  
345 aboveground (20 cm grain) and all three root depths ( $F = 23.07$ , 18.87 and 24.02, for 0-10,  
346 10-30 and 0-30 respectively;  $P < 0.001$  in all cases).

347 Species frequencies, *i.e.*, the number of occurrences, were significantly different  
348 (Pearson's *Chi*-squared tests,  $P < 0.0001$ ) between above- and belowground (0-10, 10-30 and  
349 0-30 layers). However, these results were mostly driven by a few species (Fig. S3, Online  
350 Resource 3), such as *Lithodora fruticosa* and *Quercus* sp., which were significantly more  
351 frequent in the belowground, or *Koeleria vallesiana*, which instead, was more frequent in the  
352 aboveground. Indeed, most of the species had similar frequencies in both compartments (see  
353 Table S1, Online Resource 2). Spatial tests for each species (McNemar's *Chi*-squared tests)  
354 showed differences in the spatial distribution between aboveground and belowground for the  
355 26.67% and 33.33% of species, respectively, considering the 0-30 cm layer and both the 0-10  
356 and 10-30 cm layers (see Table S2, Online Resource 2). The species with a different  
357 distribution, regardless of the soil layer considered, were *Helianthemum cinereum*, *Arenaria*  
358 *cavanillesiana*, *Koeleria vallesiana*, *Sideritis incana* and *Quercus* sp.

359

360 **Effects of soil heterogeneity on aboveground and belowground richness**

361 We found only marginal effects of the soil heterogeneity on richness. Aboveground richness  
362 was significantly affected by the phosphatase activity at the two aboveground grains selected  
363 for the analyses (at 5 and 20 cm,  $P = 0.047$  and  $P = 0.017$ , respectively) (Table 1). On the  
364 other hand, belowground richness was affected differently according to the soil layer  
365 considered. In the case of BR<sub>0-30</sub>, the organic carbon content was the only significant and  
366 positive predictor. However, when we separately considered the two belowground layers (0-  
367 10 and 10-30), results were different: BR<sub>0-10</sub> was affected by carbon content and phosphatase,  
368 whereas BR<sub>10-30</sub> was significantly affected only by the potassium content.

369

## 370 **Discussion**

371 In semi-arid environments, such as Mediterranean shrublands characterised by higher biomass  
372 root allocation (Schenk and Jackson 2002) and sparse distribution of aboveground vegetation  
373 (Martens et al. 1997), we expected aboveground and belowground diversity patterns to be  
374 different. Indeed, our findings showed important diversity discrepancies, in terms of species  
375 richness, composition and spatial distribution, between the aboveground and belowground  
376 compartments. To understand how plant diversity is structured within aboveground and  
377 belowground fractions, and whether the aboveground robustly informs on the whole  
378 community diversity, pioneer studies (*e.g.* Hiiesalu et al. 2012) considered equivalent and  
379 unique scales of comparison, usually sampling units of  $10 \times 10$  centimetres, both above- and  
380 belowground. Their results, specifically those related to the lack of congruence in the  
381 corresponding species-area curves (Hiiesalu et al. 2012), induced other authors (*e.g.* Pärtel et  
382 al. 2012) to suggest that different spatial scales of comparison should be considered. Some  
383 studies adopted different aboveground sampling grains (*e.g.* Kesanakurti et al. 2011), but did  
384 not justify what scale was the most appropriate to compare both plant compartments. Given  
385 that the drivers of community structure are likely different above- and belowground, a



386 previous and necessary step for an accurate description of a plant community should be  
387 identifying the scale at which the similarity between both components is maximised. Indeed,  
388 our study shows that the neighbourhood scale adopted to sample the aboveground community  
389 strongly affects the similarity between the aboveground and belowground compartments (Fig.  
390 2), which represent different facets of the same plant community. Importantly, this result  
391 conditions the ability to robustly answer whether the aboveground can be a good surrogate of  
392 the whole plant community composition and structure.

393 In our community, the highest similarity in species richness and composition between  
394 aboveground and belowground compartments was registered with a 20 cm radius grain in the  
395 aboveground (and belowground root cores of 2.5 cm radius). It is also noteworthy that this  
396 scale was not affected by the different sampling depths. It is likely that the spatial scale at  
397 which the similarity reaches a maximum would vary with the plant community considered, as  
398 it may vary with the lateral spread of different species, which in turn depends on both their  
399 growth form and climatic conditions (*e.g.* Schenk and Jackson 2002). In our study case, this  
400 sampling grain roughly matched the maximum height of most individuals, which might be  
401 pointing to an allometric relationship between maximum plant height and the lateral root  
402 spread in these species. Interestingly, in a mesophytic grassland the best match between the  
403 aboveground and belowground richness was obtained when considering an aboveground  
404 cumulative sampling area three times larger than belowground (Hiiesalu et al. 2012; Pärtel et  
405 al. 2012). In other words, in another plant community, where dominating species are shorter  
406 than in our shrubland, maximum richness similarity was also encountered at a smaller  
407 aboveground scale. This hypothesis, however, needs further research and testing in other plant  
408 communities to be confirmed.

409 The comparison of the aboveground and belowground richness showed contrasting  
410 results according to sampling depth. We detected a high and positive correlation between

411 richness of the two compartments when considering both the 0-10 and the 0-30 cm soil layers.  
412 This correlation was stronger in case of the 0-10 cm layer only, while it was not significant  
413 for the 10-30 cm layer. This result concurs with Li et al. (2017), who reported that  
414 aboveground richness was more correlated with richness in the most superficial soil layer  
415 (first 5 cm) than in the deepest one (10 -15 cm of depth). When comparing average above-  
416 and belowground richness values, our results also varied with the soil layer. Above- and  
417 belowground richness were statistically similar only when the entire sampled soil profile (0-  
418 30 cm of depth) was considered, while a significant difference emerged when considering the  
419 shallow or the deeper layer in the soil separately. These results suggest that, in environments  
420 dominated by a greater root allocation, we cannot reduce our consideration of the plant  
421 diversity only to the shallowest part of the soil (10 cm), as plant community dynamics invest  
422 even deeper layers and possibly vary consistently with soil depth. This idea is supported by  
423 the fact that, even though there was an obvious decrease in root biomass (Fig. S4, Online  
424 Resource 3), we did not observe any reduction in species richness with depth. Results of the  
425 composition similarity analysis did not differ considerably with the soil layer. Indeed, our  
426 results evidenced significant differences in species composition between aboveground and  
427 belowground compartments, regardless of the soil layer considered. However, the strength of  
428 dissimilarities changed according with the layer considered, due to the fact that the 0-10 cm  
429 and 10-30 cm layers had a consistent portion of unshared species (a mean value of 45.44%),  
430 showing a certain species turnover between the shallow and the deeper (10-30 cm) layers.  
431 Altogether, our results show that, even at the scale of comparison in which the maximum  
432 similarity is reached, the aboveground and belowground communities present significant  
433 dissimilarities in richness and composition.

434 This discrepancy may be due to different factors, including different species'  
435 frequencies and/or a different spatial distribution in the two compartments. Our results

436 showed a generalised concordance in species frequencies between aboveground and  
437 belowground, with only a very few exceptions, such as, for instance, *Quercus* sp. This tree  
438 species was by far much more common in the belowground than in the aboveground  
439 compartment in our fully-mapped plot. It is worth noting that this tree is almost absent in the  
440 plot, with only a few seedlings, but it is relatively common in the vicinity, which informs on  
441 the strong ability of this species to spread its roots far beyond their canopies. The generalised  
442 symmetry in species frequencies observed for the majority of the species contrasts with other  
443 studies reporting clear asymmetries between the aboveground and belowground in grasslands  
444 (*e.g.* Kesanakurti et al. 2011; Hiiesalu et al. 2012). Interestingly, tests carried out to compare  
445 the distribution of individual species in the two compartments at the sampling point level  
446 evidenced that an important portion (ranging from 26.67% to 33.33%, according to the soil  
447 layer) of species presented a significantly different spatial distribution between the  
448 aboveground and belowground. In other words, our results indicate that although many of the  
449 species in our plant community have similar frequencies above- and belowground, several of  
450 them are differentially distributed in space in both compartments. This suggests that most of  
451 the composition dissimilarities observed are caused by species differentially prospecting the  
452 two compartments. This may be also the reason why we identified a significant amount (more  
453 than 50%), of unshared species per sampling point (circle/core) between the two  
454 compartments, for all the belowground layers.

455         A significant fraction of the diversity in each sampling point was only present in the  
456 belowground (*i.e.*, additional belowground richness, see Fig. 3), regardless of the  
457 aboveground sampling grain considered. The detection of certain species only in the  
458 belowground is in agreement with previous studies (*e.g.* Hiiesalu et al. 2012; Träger et al.  
459 2019), and reinforces the idea that the soil contains a very relevant fraction of the total  
460 diversity (*i.e.*, hidden diversity; Pärtel 2014) that is systematically ignored when sampling is

461 only conducted aboveground. The additional aboveground richness was also a relevant  
462 fraction of diversity (Fig. 3), suggesting that neither the aboveground nor the belowground  
463 community include all species present at small spatial scales. This could be related to the fact  
464 that not all the species can be easily detected in the belowground, contrarily to those in the  
465 aboveground, as molecular techniques still have some limitations (see *e.g.* Hiiesalu et al.  
466 2012).

467 Richness variation in the two compartments showed contrasting responses to soil  
468 heterogeneity even at the fine scale of our study. This result differed from Kesanakurti et al.  
469 (2011) who observed that soil heterogeneity was able to structure species diversity only in the  
470 belowground, but not in the aboveground. In our case, although the number of significant  
471 predictors was low, phosphatase activity in the soil, a surrogate of microbial activity  
472 (Nannipieri et al. 2011), explained a small fraction of the aboveground richness, at both 5 and  
473 20 cm radius scale, while the organic carbon content affected the root diversity at 0-30 cm of  
474 depth. In the case of the belowground richness at the shallow layer, 0-10 cm, the response was  
475 similar to the aboveground richness (*i.e.*, both were positively affected by the phosphatase  
476 activity). Our findings disagree with those of Hiiesalu et al. (2012) who analysed richness  
477 variation at a local scale, very different from our very fine spatial scale, in a 2-ha diverse  
478 mesophytic grassland, and pointed out that both aboveground and belowground richness  
479 responded to the nitrogen content in the soil, but in different ways. Moreover, richness in the  
480 deepest layer, 10-30 cm, was positively and exclusively related to the level of potassium in  
481 the soil. The fact that richness was differently affected by soil heterogeneity with the layer  
482 considered suggests that the dynamics regulating belowground diversity patterns vary with  
483 depth. The results of our analysis, including the aboveground *vs.* belowground comparison as  
484 well as the richness variation with soil heterogeneity, shed light on another important issue,  
485 the huge complexity of belowground plant communities.

486 Our results challenge the current views of plant community assembly in  
487 Mediterranean arid and semi-arid shrubby vegetation. Indeed, the fact that we observed that  
488 species are strongly intermingled in very small spaces in the soil questions several  
489 hypotheses. First, species territoriality, *i.e.*, defending soil spaces to avoid competitors to  
490 achieve resources, has been hypothesised to represent a possible strategy to avoid competition  
491 for water and nutrients in the soil, mainly in environments where these are limited (Schenk et  
492 al. 1999). Species segregation along soil depth is also missing, contrary to what we could  
493 expect according to the niche differentiation theory, as we observed similar species  
494 frequencies in the two different soil layers (see Fig. S3 in Online Resource 3). However, we  
495 cannot rule out the possibility that, in our study, environment soil segregation with depth  
496 occurs at higher depths. The high belowground species richness detected also contrasts the  
497 idea that the sparse distribution of the aboveground vegetation in environments where light is  
498 abundant and soil resources (including water) are scarce responds to belowground  
499 competition (Cipriotti and Aguiar 2015; Deng et al. 2006; Martens et al. 1997). Contrary to  
500 this view, the coexistence of a high number of species in very small pockets in the soil (*i.e.*,  
501 within 5 cm soil cores) suggests that belowground competition does not determine the plant  
502 diversity patterns observed in the aboveground, while competition for aboveground resources  
503 (*e.g.* light) could actually have a more important contribution. This idea could be further  
504 supported by studies (*e.g.* Price et al. 2012) showing that aboveground competition is an  
505 important driver of community assembly at small spatial scales, while abiotic processes could  
506 be more important in the belowground. Our results, however, do not completely support this  
507 hypothesis, since we found only a small effect of soil heterogeneity on species richness  
508 distribution both aboveground and belowground.

509

## 510 **Conclusions**

511 Identifying the spatial scale at which the similarity between aboveground and belowground  
512 compartments reaches a maximum is critical not only to understand if they differ or not, but  
513 also to properly assess the processes determining the diversity patterns of the plant  
514 community as a whole. In this work, we show the importance for sampling a larger  
515 aboveground scale than in the belowground to maximise the similarity in species richness and  
516 composition between these two compartments. However, our findings show that, even at the  
517 scale of maximum similarity, there are relevant discrepancies between above- and  
518 belowground richness (except when a complete profile of 0-30 cm of depth was considered)  
519 and composition (for any of the three soil layers). This result confirms that, although the  
520 above- and belowground compartments are clearly related, the processes operating in each  
521 compartment differ, limiting their reciprocity and their ability to characterise the plant  
522 community individually. This is further reinforced by the fact that soil heterogeneity exerts a  
523 different effect on richness patterns in the two compartments. We show that to identify the  
524 scales maximising the similarity between aboveground and belowground compartments is a  
525 necessary step to obtain a complete perspective of the diversity structure in a plant  
526 community. This is critical to infer the mechanisms controlling plant coexistence in natural  
527 communities, which represent one of the most important challenges of plant community  
528 ecology.

529

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536

537 **Author contribution**

538 Silvia Matesanz and Adrián Escudero conceived and designed the study. All authors  
539 conducted field and laboratory work. Angela Illuminati analysed the data with extensive input  
540 by Jesús López-Angulo and Marcelino de la Cruz. Angela Illuminati wrote the manuscript,  
541 with input from all other authors.

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671 **Table 1 Differential effects of soil heterogeneity on aboveground and belowground richness:** Estimates (Est.) and *P*-values from GLMs and  
 672 VGLMs for aboveground richness at 5 and 20 cm sampling grains (AR<sub>5</sub> and AR<sub>20</sub>) and belowground richness at different depths (BR<sub>0-30</sub>, BR<sub>0-10</sub>,  
 673 BR<sub>10-30</sub>, 0-30 cm, 0-10 cm and 10-30 cm, respectively).  
 674

	AR <sub>20</sub>		AR <sub>5</sub>		BR <sub>0-30</sub>		BR <sub>0-10</sub>		BR <sub>10-30</sub>	
	Est.	<i>P</i> -values	Est.	<i>P</i> -values	Est.	<i>P</i> -values	Est.	<i>P</i> -values	Est.	<i>P</i> -values
<b>(Intercept):1</b>	-0.65	<b>0.003</b>	0.51	<b>0</b>	-0.76	<b>0.001</b>	-1.07	<b>0</b>	-0.32	0.078
<b>(Intercept):2</b>	2.39	<b>&lt; 2e-16</b>	-		2.36	<b>&lt; 2e-16</b>	2.19	<b>&lt; 2e-16</b>	1.93	<b>&lt; 2e-16</b>
<b>Sand</b>	-0.06	0.06	-0.15	0.105	-0.05	0.125	-0.04	0.184	-0.07	0.06
<b>C</b>	-0.03	0.479	-0.07	0.548	-0.09	<b>0.019</b>	-0.11	<b>0.007</b>	-0.05	0.315
<b>N</b>	0.02	0.543	0	0.998	0.04	0.193	0	0.937	0	0.992
<b>K</b>	-0.01	0.872	-0.04	0.654	0.03	0.299	-0.06	0.09	0.09	<b>0.015</b>
<b>Glucosidase</b>	0.03	0.391	0.02	0.88	0.04	0.257	0.03	0.502	0.09	0.074
<b>Phosphatase</b>	0.09	<b>0.017</b>	0.23	<b>0.047</b>	0.06	0.144	0.13	<b>0.001</b>	-0.02	0.655
<b>Conductivity</b>	-0.06	0.194	0.1	0.328	-0.05	0.203	0.02	0.584	-0.05	0.298
<b>pH</b>	-0.04	0.362	0.04	0.741	0.01	0.797	0.05	0.225	-0.02	0.746
<b>Autocovariate</b>	0.05	<b>0</b>	0.06	0.069	-	-	-	-	-	-

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**Figure captions.**

**Fig. 1 Sampling design:** From bottom to top, layers represent the soil heterogeneity, the sampled plant community (64 m<sup>2</sup>), the grid of root and soil cores and the point pattern of the aboveground plant community (each point represents an individual, with size proportional to plant mean cover). In the right, a zoom of the aboveground point pattern is representing different radius circles, corresponding to different aboveground sampling grains, departing from the centre of a root core.

**Fig. 2 Similarity between aboveground and belowground species richness and composition:** **a** and **d** boxplots represent the median and the 1<sup>st</sup> and 3<sup>rd</sup> quartiles of both richness differences ( $\Delta R$ ) and Jaccard dissimilarity index (J), respectively, considering different aboveground sampling grains (circles with different radius size) aboveground vs the 0-10 cm depth layer belowground. **b** and **e** represent the same indices calculated with the belowground community at 10-30 cm, while **c** and **f** at 0-30 cm.

**Fig. 3 Species composition similarities between aboveground and belowground:** bar plot showing the species shared between the two compartments, the species found only aboveground (additional AR) and only belowground (additional BR), for the 5 and 20 cm sampling grains and the 0-10 , 10-30 and 0-30 cm of depth layers belowground.

Aboveground  
point pattern

Belowground  
sampling design

Plant community

Soil heterogeneity

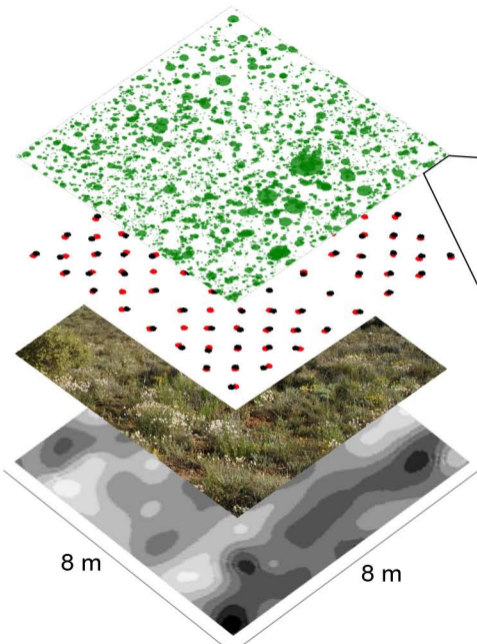
Plant mean cover



Root cores

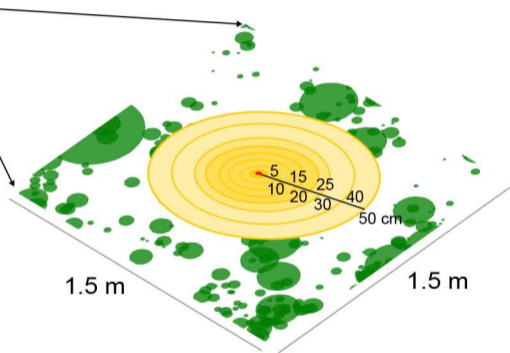


Soil cores



8 m

8 m



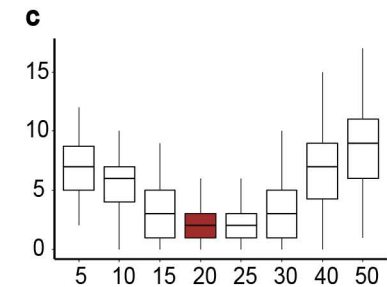
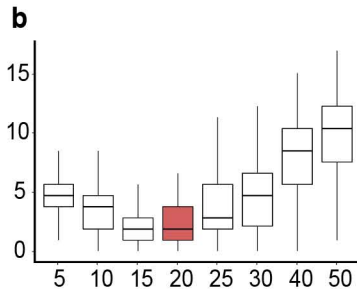
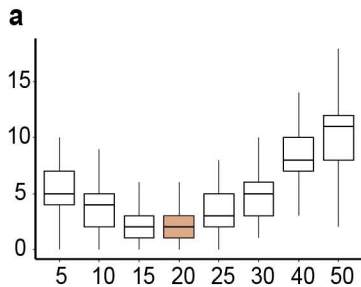
1.5 m

1.5 m

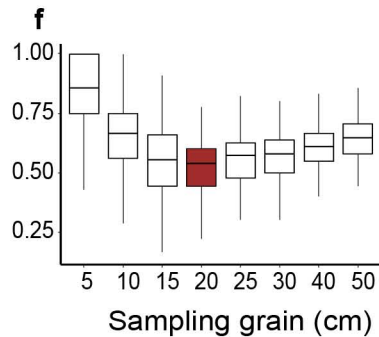
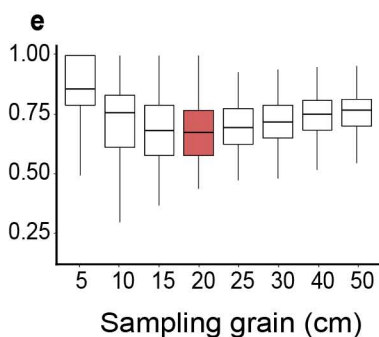
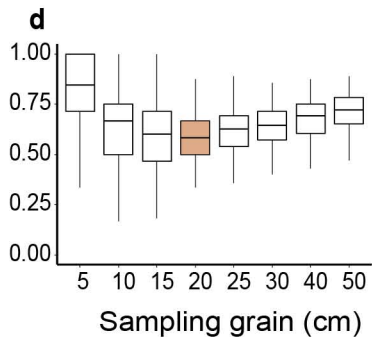


Different radius grains


$\Delta$  Richness




J index



Maximum similarity above vs below:

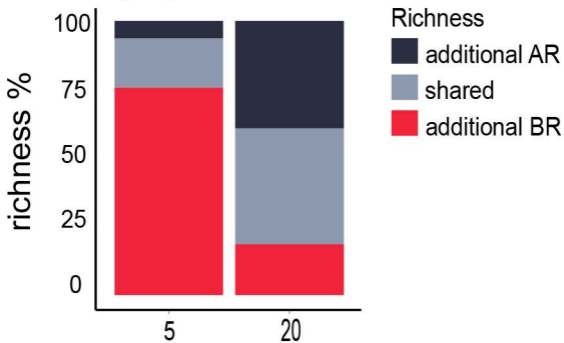
below 0-10 (cm) 

below 10-30 (cm) 

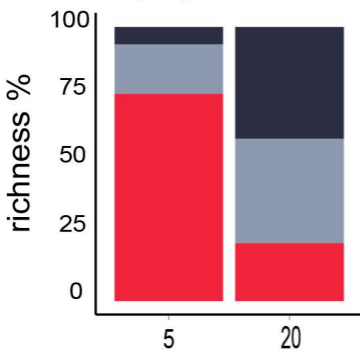
below 0-30 (cm) 



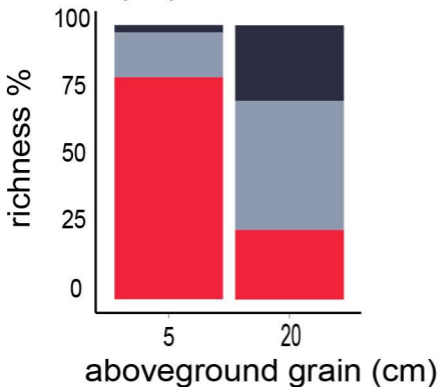
### 0-10 (cm)



### 10-30 (cm)



### 0-30 (cm)



1 **Larger aboveground neighbourhood scales maximise similarity but not**  
2 **eliminate discrepancies with belowground plant diversity in a**  
3 **Mediterranean shrubland**

4 **Plant and Soil**

5 Angela Illuminati<sup>1\*</sup>, Jesús López-Angulo<sup>1</sup>, Marcelino de la Cruz<sup>1</sup>, Julia Chacón-Labela<sup>2</sup>,  
6 David S. Pescador<sup>1</sup>, Beatriz Pías<sup>3</sup>, Ana M. Sánchez<sup>1</sup>, Adrián Escudero<sup>1</sup>, Silvia Matesanz<sup>1</sup>

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## 27 **Methods S1** Root identification through DNA metabarcoding

28 As a first step of the analysis, DNA extraction was carried out employing the DNeasy Plant  
29 Mini Kit (Qiagen, CA, USA) in the lab at Universidad Rey Juan Carlos, including negative  
30 controls for each extraction batch. Afterwards, DNA extractions were shipped to the  
31 AllGenetics laboratories (AllGenetics & Biology SL, A Coruña, Spain).

32 We amplified a fragment of the *rbcL* chloroplast gene (550 bp) using the primers  
33 *rbcLa-F* (5' ATG TCA CCA CAA ACA GAG ACT AAA GC 3'; Levin et al. 2003) and  
34 *rbcLa-R* (5' GTA AA ATC AAG TCC ACC RCG 3'; Kress et al. 2009). The Illumina  
35 sequencing primer sequences were attached at the 5' ends of primers. A first series of PCRs  
36 was performed to amplify the selected fragment of the *rbcL* chloroplast gene. It was carried  
37 out in a total volume of 25  $\mu$ L, containing 2.5  $\mu$ L of template DNA, 0.5  $\mu$ M of the primers,  
38 12.5  $\mu$ L of Supreme NZYTaQ 2x Green Master Mix (NZYTech), and ultrapure water up to 25  
39  $\mu$ L. The reactions were run as follows: the mixture was incubated at 95 °C for 5 min, than it  
40 was subjected to 30 cycles of 95 °C for 30 s, 52 °C for 30 s, 72 °C for 30 s, and finally to 72  
41 °C for 10 minutes. A latter series of PCRs was required to attach the Illumina index sequences  
42 for multiplexing distinct libraries in the same sequencing pool. Thermocycling conditions  
43 were identical to first PCRs series but with only 5 cycles and an annealing temperature of  
44 60°C. During library preparation, four negative controls, with no DNA, were included to the  
45 check for contamination. The obtained libraries were run on 2 % agarose gels, which were  
46 stained with GreenSafe (NZYTech), and then observed under UV light to verify their size.  
47 After that, they were purified using Mag-Bind RXNPure Plus magnetic beads (Omega  
48 Biotek) and pooled in equimolar amounts. The pool was sequenced in a run of the MiSeq  
49 PE300 (Illumina). Then we proceeded with the demultiplexing step, which consists in  
50 removing indexes and sequencing primers. We carried out quality control on FASTQ files  
51 using the software FastQC and we filtered raw-reads in Geneious 11.1.2. PCR primers were

52 eliminated and a region at the 3' end of each file was trimmed considering a minimum Phred  
53 score of 20. After, the R1 and R2 reads were concatenated (fuse.sh script, BBmap package,  
54 Bushnell 2014) and the sequences labelled (multiple split libraries.py) in Qiime (Caporaso et  
55 al., 2010). Labelling was crucial for the subsequent sample identification because sequences  
56 were combined later to perform downstream analysis. Next processing steps were carried out  
57 with the VSEARCH bioinformatics tool. Sequences were dereplicated, clustered at a  
58 similarity threshold of 100 %, and sorted. Furthermore, the bioinformatic pipeline included  
59 filters intended to reduce those artefacts, which normally generate during PCR and  
60 sequencing, and that can overestimate the number of OTUs. De novo chimera detection was  
61 implemented with the UCHIME algorithm (Edgar et al., 2011).

62 The taxonomical assignment was performed by querying the clustered sequences  
63 against the reference library in VSEARCH (usearch global option) with a 99% similarity  
64 threshold. As the query sequences mapped only to the 5' and 3' ends of the references  
65 sequences, their central region was previously removed, resulting in a final length of 517 bp.  
66 An OTU table resulted from the application of the script mesas-uc2clust.py. The new table  
67 listed the number of sequences from each OTU found in each sample. We removed the OTUs  
68 with a number of sequences lower than 0.005% of the total number of sequences (Bokulich et  
69 al., 2013) to apply a quality filtering. Moreover, the low abundance OTUs of each sample  
70 (0.1% threshold) were removed in order to contrast the phenomenon, which is normally  
71 referred to as mistagging, index jumping, tag jumping, etc. Indeed, a low percentage of the  
72 reads of a library can be misassigned to another library, during library preparation,  
73 sequencing and/or demultiplexing steps (Esling et al., 2015; Bartram et al., 2016; Guardiola et  
74 al., 2016). Finally, we removed those OTUs that did not match any reference sequence in the  
75 database at a similarity of 99% and remained unidentified ('No hit'). These OTUs accounted  
76 for an average of 9.4% of the total reads before filtering.



**Larger aboveground neighbourhood scales maximise similarity but not eliminate discrepancies with belowground plant diversity in a Mediterranean shrubland**

**Plant and Soil**

Angela Illuminati<sup>1\*</sup>, Jesús López-Angulo<sup>1</sup>, Marcelino de la Cruz<sup>1</sup>, Julia Chacón-Labela<sup>2</sup>, David S. Pescador<sup>1</sup>, Beatriz Pías<sup>3</sup>, Ana M. Sánchez<sup>1</sup>, Adrián Escudero<sup>1</sup>, Silvia Matesanz<sup>1</sup>

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**Table S1** Aboveground abundance in the entire 64 m<sup>2</sup> plot and aboveground (at 5 cm and 20 cm radius grains) and belowground (at three different

Species	Aboveground abundance				Frequency							
	64 m2 plot		aboveground 20		aboveground 5		belowground 0-30		belowground 0-10		belowground 10-30	
	n° individuals	%	0-94	%	0-94	%	0-94	%	0-94	%	0-94	%
<i>Stipa sp</i>	1758	20.56	88	93.62	20	21.28	85	90.43	67	62.98	72	67.68
<i>Linum suffruticosum</i>	1154	13.5	69	73.4	18	19.15	63	67.02	49	46.06	48	45.12
<i>Helianthemum cinereum</i>	1095	12.81	76	80.85	11	11.7	56	59.57	46	43.24	38	35.72
<i>Bupleurum fruticosum</i>	899	10.51	55	58.51	16	17.02	55	58.51	46	43.24	48	45.12
<i>Thymus sp</i>	683	7.99	77	81.91	14	14.89	84	89.36	81	76.14	67	62.98
<i>Koeleria vallesiana</i>	545	6.37	57	60.64	8	8.51	10	10.64	6	5.64	5	4.7
<i>Sideritis incana</i>	343	4.01	44	46.81	5	5.32	25	26.6	19	17.86	16	15.04
<i>Fumana ericoides</i>	339	3.96	56	59.57	8	8.51	43	45.74	34	31.96	23	21.62
<i>Coronilla minima</i>	270	3.16	41	43.62	12	12.77	42	44.68	23	21.62	33	31.02
<i>Arenaria cavanillesiana</i>	260	3.04	26	27.66	6	6.38	13	13.83	8	7.52	7	6.58
<i>Avenula bromoides</i>	169	1.98	18	19.15	2	2.13	0	0	0	0	0	0
<i>Cephalaria leucantha</i>	169	1.98	21	22.34	3	3.19	30	31.91	24	22.56	25	23.5
<i>Helianthemum hirtum</i>	154	1.8	22	23.4	7	7.45	13	13.83	11	10.34	6	5.64
<i>Teucrium sp</i>	118	1.38	19	20.21	6	6.38	30	31.91	23	21.62	21	19.74
<i>Matthiola fruticulosa</i>	81	0.95	10	10.64	4	4.26	7	7.45	4	3.76	6	5.64
<i>Thesium divaricatum</i>	77	0.9	14	14.89	0	0	6	6.38	4	3.76	3	2.82
<i>Asperula aristata</i>	67	0.78	9	9.57	0	0	0	0	0	0	0	0
<i>Hippocrepis commutata</i>	55	0.64	8	8.51	0	0	10	10.64	5	4.7	7	6.58
<i>Coris monspeliensis</i>	49	0.57	6	6.38	1	1.06	0	0	0	0	0	0
<i>Lavandula latifolia</i>	30	0.35	6	6.38	3	3.19	16	17.02	11	10.34	12	11.28
<i>Ononis tridentata</i>	30	0.35	7	7.45	3	3.19	2	2.13	1	0.94	1	0.94
<i>Quercus sp</i>	25	0.29	4	4.26	1	1.06	90	95.74	74	69.56	82	77.08
<i>Euphorbia nicaeensis</i>	24	0.28	6	6.38	0	0	4	4.26	2	1.88	3	2.82
<i>Thymelaea pubescens</i>	19	0.22	6	6.38	2	2.13	7	7.45	3	2.82	6	5.64
<i>Linum narbonense</i>	18	0.21	2	2.13	0	0	2	2.13	1	0.94	2	1.88
<i>Salvia lavandulifolia</i>	16	0.19	1	1.06	0	0	5	5.32	3	2.82	3	2.82
<i>Helychrisum serotinum</i>	14	0.16	2	2.13	0	0	0	0	0	0	0	0
<i>Leuzea conifera</i>	14	0.16	2	2.13	0	0	0	0	0	0	0	0
<i>Phlomis lychinitis</i>	13	0.15	1	1.06	1	1.06	5	5.32	1	0.94	4	3.76
<i>Eryngium campestre</i>	12	0.14	7	7.45	1	1.06	5	5.32	0	0	5	4.7
<i>Helianthemum syriacum</i>	9	0.11	2	2.13	0	0	0	0	0	0	0	0
<i>Santolina chamaecyparissus</i>	9	0.11	2	2.13	0	0	1	1.06	1	0.94	1	0.94
<i>Sanguisorba minor</i>	8	0.09	2	2.13	0	0	0	0	0	0	0	0
<i>Stachelina dubia</i>	8	0.09	3	3.19	0	0	13	13.83	10	9.4	9	8.46
<i>Fumana thymifolia</i>	6	0.07	1	1.06	0	0	4	4.26	3	2.82	4	3.76
<i>Astragalus incanus</i>	4	0.05	0	0	0	0	0	0	0	0	0	0
<i>Jurinea humilis</i>	2	0.02	1	1.06	0	0	4	4.26	0	0	4	3.76
<i>Lithodora fruticosa</i>	2	0.02	1	1.06	0	0	13	13.83	6	5.64	8	7.52
<i>Aristolochia paucinervis</i>	1	0.01	0	0	0	0	0	0	0	0	0	0
<i>Centaurea hyssopifolia</i>	1	0.01	0	0	0	0	0	0	0	0	0	0
<i>Sideritis hirsuta</i>	1	0.01	1	1.06	0	0	0	0	0	0	0	0

depths) species frequencies, calculated as the sum of presence-absence values in the 94 circles/cores (i.e. values from 0 to 94).



**Table S2** Adjusted P-values of McNemar Chi-squared test comparing spatial distribution of each species between aboveground and belowground. We considered 30 species, which are the species found both aboveground and belowground.

Species	McNemar Chi-squared test adj. <i>P</i> -value		
	Aboveground (20 cm) versus Belowground		
	0-30 cm	0-10 cm	10-30 cm
1 <i>Arenaria cavanillesiana</i>	<b>0.017445</b>	<b>0.000672</b>	<b>0.000414</b>
2 <i>Bupleurum fruticosum</i>	1	0.097711	0.21992
3 <i>Cephalaria leucantha</i>	0.242176	0.757856	0.633379
4 <i>Coronilla minima</i>	1	<b>0.005945</b>	0.343296
5 <i>Eryngium campestre</i>	0.778051	NA	0.715807
6 <i>Euphorbia nicaeensis</i>	0.825402	0.207845	0.592776
7 <i>Fumana ericoides</i>	0.144114	<b>0.001628</b>	<b>6.41E-05</b>
8 <i>Fumana thymifolia</i>	0.399899	0.614764	0.359909
9 <i>Helianthemum cinereum</i>	<b>0.002391</b>	<b>1.25E-05</b>	<b>2.35E-07</b>
10 <i>Helianthemum hirtum</i>	0.125238	<b>0.038929</b>	<b>0.000733</b>
11 <i>Hippocrepis commutata</i>	0.896483	0.614764	1
12 <i>Jurinea humilis</i>	0.512462	NA	0.512462
13 <i>Koeleria vallesiana</i>	<b>7.23E-10</b>	<b>9.11E-11</b>	<b>2.21E-11</b>
14 <i>Lavandula latifolia</i>	<b>0.033984</b>	0.207845	0.159707
15 <i>Linum narbonense</i>	NA	1	NA
16 <i>Linum suffruticosum</i>	0.402264	<b>0.000778</b>	<b>0.001807</b>
17 <i>Lithodora fruticosa</i>	<b>0.008678</b>	0.128867	0.119955
18 <i>Matthiola fruticulosa</i>	0.512462	0.088796	0.355526
19 <i>Ononis tridentata</i>	0.242176	0.088796	0.159707
20 <i>Phlomis lychinitis</i>	0.242176	1	0.359909
21 <i>Quercus</i> sp	<b>1.43E-18</b>	<b>4.54E-15</b>	<b>8.17E-17</b>
22 <i>Salvia lavandulifolia</i>	0.376439	0.719921	0.715807
23 <i>Santolina chamaecyparissus</i>	1	1	1
24 <i>Sideritis incana</i>	<b>0.002391</b>	<b>5.83E-05</b>	<b>2.65E-05</b>
25 <i>Staehelina dubia</i>	<b>0.033984</b>	0.091001	0.21992
26 <i>Stipa</i> sp	0.665662	<b>0.00017</b>	<b>0.004459</b>
27 <i>Teucrium</i> sp	0.131951	0.614764	0.907548
28 <i>Thesium divaricatum</i>	0.148308	<b>0.026249</b>	<b>0.028488</b>
29 <i>Thymelaea pubescens</i>	1	0.546874	1
30 <i>Thymus</i> sp	0.242176	0.614764	0.159707

**Table S3** Adjusted  $p$ -values of Tukey and Nemenyi tests (i.e. only significant results are reported) carried out to compare results obtained considering different aboveground scales in the calculation of the Jaccard dissimilarity index (J index) and the richness differences ( $\Delta R$ ), i.e. the two measures considered to quantify dissimilarities between aboveground and belowground compartments (at three different depths).

Aboveground scale (cm)		Adj p-values J index						Adj P-values $\Delta R$					
		Belowground depth (cm)						Belowground depth (cm)					
		0-10		10-30		0-30		0-10		10-30		0-30	
<b>5</b>	vs. 10	<0.0001	***	<0.0001	***	<0.0001	***	<0.01	*	0.01	*	0.01	*
	vs. 15	<0.0001	***	<0.0001	***	<0.0001	***	<0.0001	***	<0.0001	***	<0.0001	***
	vs. 20	<0.0001	***	<0.0001	***	<0.0001	***	<0.0001	***	<0.0001	***	<0.0001	***
	vs. 25	<0.0001	***	<0.0001	***	<0.0001	***	0.0001	***	<0.01	*	<0.0001	***
	vs. 30	<0.0001	***	<0.0001	***	<0.0001	***	1.00		1.00		<0.0001	***
	vs. 40	<0.0001	***	<0.0001	***	<0.0001	***	<0.0001	***	0.001	**	0.48	
	vs. 50	<0.0001	***	<0.0001	***	<0.0001	***	<0.0001	***	<0.0001	***	0.48	
<b>10</b>	vs. 15	0.36		0.18		0.0001	***	<0.01	*	0.02	.	<0.0001	***
	vs. 20	0.06		0.12		<0.0001	***	0.01	*	0.04	.	<0.0001	***
	vs. 25	0.89		0.77		<0.0001	***	1.00		1.00		<0.0001	***
	vs. 30	1.00		0.99		<0.0001	***	0.03	.	0.04	.	<0.0001	***
	vs. 40	0.57		1.00		0.31		<0.0001	***	<0.0001	***	0.42	

	<b>vs. 50</b>	0.03 .	0.71	0.99	<0.0001 ***	<0.0001 ***	<0.0001 ***
<b>15</b>	<b>vs. 30</b>	0.51	0.71	1.00	<0.0001 ***	<0.0001 ***	1.00
	<b>vs. 40</b>	0.001 **	0.03 .	0.28	<0.0001 ***	<0.0001 ***	<0.0001 ***
	<b>vs. 50</b>	0.001 **	0.01 *	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***
<b>20</b>	<b>vs. 30</b>	0.11	0.58	0.43	<0.0001 ***	<0.0001 ***	0.20
	<b>vs. 40</b>	0.001 **	0.01 *	<0.01 *	<0.0001 ***	<0.0001 ***	<0.0001 ***
	<b>vs. 50</b>	0.001 **	0.001 **	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***
<b>25</b>	<b>vs. 30</b>	0.96	1.00	0.99	<0.01 *	0.02 .	0.19
	<b>vs. 40</b>	0.03 .	0.31	0.10	<0.0001 ***	<0.0001 ***	<0.0001 ***
	<b>vs. 50</b>	0.0001 ***	0.03 .	0.001 **	<0.0001 ***	<0.0001 ***	<0.0001 ***
<b>30</b>	<b>vs. 40</b>	0.42	0.77	0.53	<0.0001 ***	<0.0001 ***	<0.0001 ***
	<b>vs. 50</b>	0.01 *	0.19	0.02 .	<0.0001 ***	<0.0001 ***	<0.0001 ***

**Table S4** Adjusted *p*-values of Tukey and Nemenyi tests comparing Jaccard dissimilarity index (J index) and richness differences ( $\Delta R$ ) between the 20 cm aboveground scale (i.e. the scale considered to maximise similarity between aboveground and belowground compartments) and the other

Aboveground scale (cm)	Adj p-values J index						Adj P-values $\Delta R$					
	Belowground depth (cm)						Belowground depth (cm)					
	0-10		10-30		0-30		0-10		10-30		0-30	
<b>20</b>	<b>vs. 5</b>	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	
	<b>vs. 10</b>	0.06	0.12	<0.0001 ***	0.01 *	0.04 .	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***		
	<b>vs. 15</b>	0.99	1.00	0.70	1.00	1.00	0.07	0.09	1.00			
	<b>vs. 25</b>	0.71	0.94	0.92	<0.0001 ***	<0.0001 ***	<0.0001 ***	0.20				
	<b>vs. 30</b>	0.11	0.58	0.43	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***			
	<b>vs. 40</b>	0.01 *	<0.01 *	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***			
	<b>vs. 50</b>	0.001 **	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***	<0.0001 ***			

aboveground scales.

**Larger aboveground neighbourhood scales maximise similarity but not eliminate discrepancies with belowground plant diversity in a Mediterranean shrubland**

**Plant and Soil**

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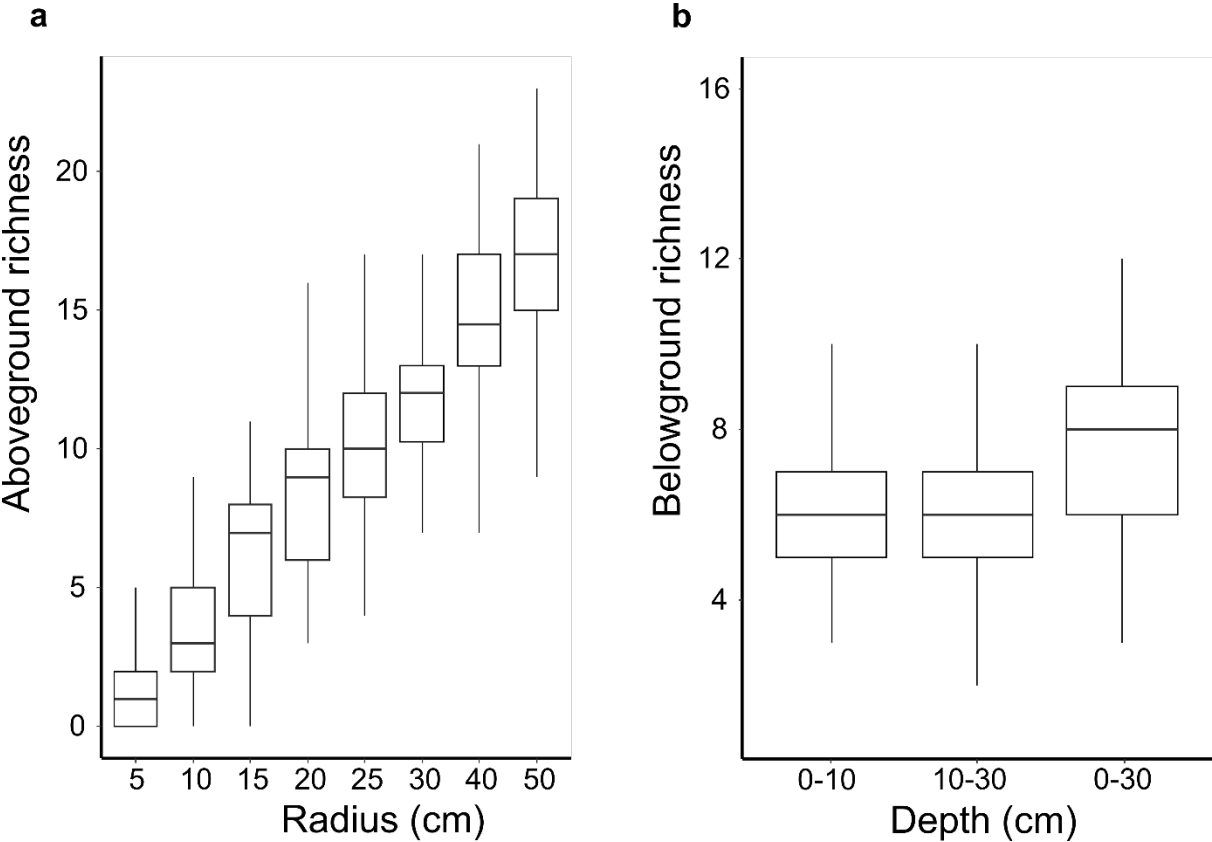
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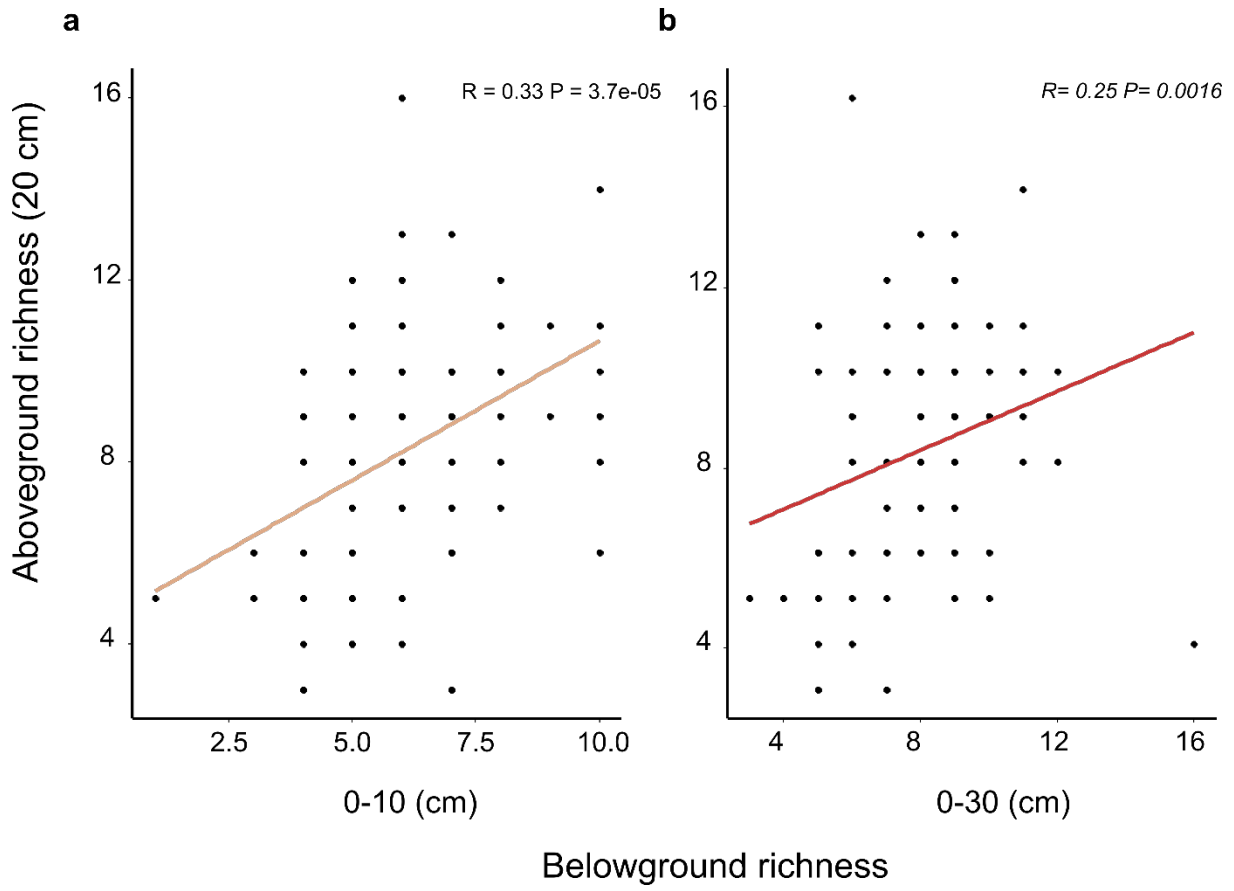
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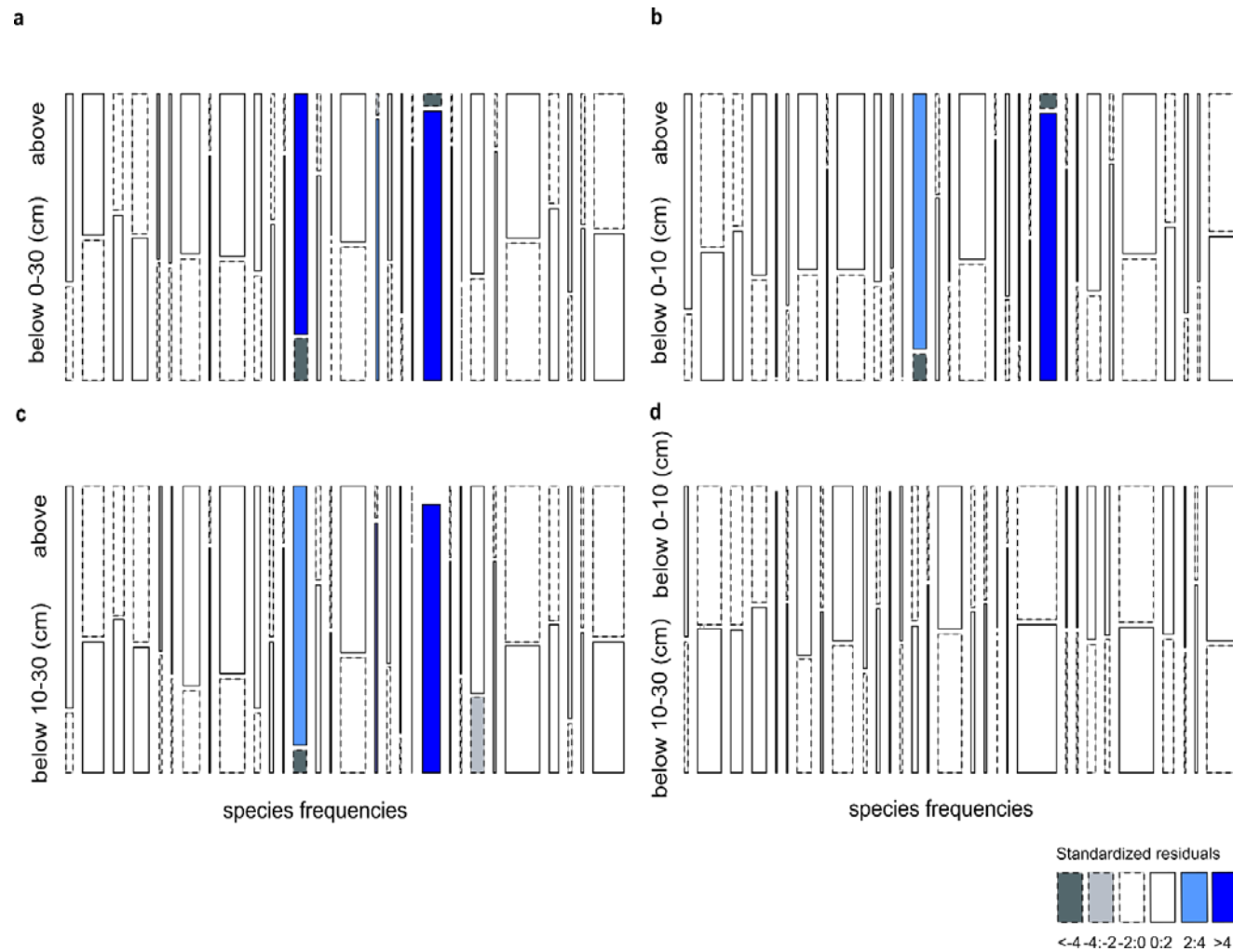
**Fig. S1** Boxplots representing aboveground richness at different scales (a) and belowground richness at different depths (b).



**Fig. S2** Kendall correlation between aboveground richness at 20 cm of radius scale and belowground richness at different depths. **a**: belowground richness (0-10 cm); **b**: belowground richness (0-30 cm).



**Fig. S3** Mosaic plots representing standardized residuals from the chi-squared test comparing species frequencies aboveground at 20 cm sampling grain vs belowground at different depths, 0-30, 0-10 and 10-30 cm (**a**, **b**, **c**, respectively), and belowground 0-10 vs belowground 10-30 (**d**). The size of the boxes is proportional to each species frequency. As shown by the figure, most of the species frequencies are similar between compared layers, indeed only the coloured boxes correspond to significant differences from the chi-squared test.



**Fig. S4** Belowground richness and root biomass at three depths: 0-10, 10-30 cm and 0-30 cm.

