

1 **Determination of forest fuels characteristics in mortality-affected**
2 ***Pinus* forests using integrated hyperspectral and ALS data**

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28

29 **Abstract**

30 Widespread tree mortality caused by forest decline in recent decades has raised concern
31 among forest managers about how to assess forest fuels in these conditions. To
32 investigate this question, we developed and tested an objective, consistent approach to
33 the characterization of canopy fuel metrics - such as fuel load (FL), live fuel moisture
34 content (LFMC), and live-dead ratio (LDR) - by integrating airborne laser scanning
35 (ALS) and hyperspectral data to produce more-accurate estimates at the stand level.
36 Regression models were developed for *Pinus sylvestris* and *P. nigra* stands
37 representative of pine plantations in southern Spain, using field data acquired for
38 different spatial fuel types and distributions as well as high resolution airborne
39 hyperspectral data (AHS) and ALS datasets. Strong relationships were found between
40 ALS and FL using a density of 2 points m⁻² ($R^2=0.64$) and between LFMC and
41 Temperature/NDVI index at a spatial resolution of 5 m ($R^2=0.91$). The red edge
42 normalized index provided the highest separability (Jeffries-Matusita distance=1.83)
43 between types of LDR. The plot-aggregate ALS and AHS metrics performed better at
44 spatial resolutions of 5 m and 2 points m⁻² than at other scales. Cartography of the
45 estimations of FL, LFMC, and LDR made using the empirical models from the ALS
46 and AHS data showed a mean FL value of 65.87 Mg ha⁻¹, an average LFMC content of
47 57.51%, and 30.75% of the surface classified as dead fuel ($\geq 60\%$ defoliation). The
48 results suggest that our remote sensing approach could improve the estimation of
49 canopy fuels characteristics at higher spatial resolutions as well as estimations of fuel
50 cartography, to assist the planning and management of fuel reduction treatments.

51 **Key words:** Canopy fuel metrics, natural fuels, Mediterranean pine forests,
52 hyperspectral data, ALS data.

53

54 **1. Introduction**

55 Fire is an important component of Mediterranean forest ecosystems, which has been
56 conditioned by an increase in fuel loads during recent decades, increasing the risk of
57 catastrophic fire (Pausas, 2004). The description of each fuel type is important when
58 studying fire behavior (Taylor et al., 1997). Numerous studies have been conducted to
59 determine the best way to quantify the characteristics of physical fuels of different
60 types, based on their physiological and structural characteristics. The description of
61 each fuel is a complex issue due to the large number of variables to be analyzed (Keane,
62 2013). The fuel types classifications used most commonly are based on mathematical
63 models estimated from categorized and tabulated variables.

64 Considering the vegetation composition and characteristics, forest fuels can be grouped
65 into different models according to a set of parameters describing the fire behavior
66 (Merrill and Alexander, 1987; Arroyo et al., 2008). Different types of forest fuels
67 incorporate a set of characteristics related to species composition and respond
68 differently to fire. Thus, fuel models are described by fuel load by category (live and
69 dead), particle size class, surface area to volume ratio by component and size class, heat
70 content by category, fuel bed depth, and dead fuel moisture (Andrews and Queen,
71 2001). Several fire models were proposed based on the first Rothermel models (1972),
72 which were developed using the National Fire Danger Rating System (NFDRS)
73 (Deeming et al., 1977). Those models used a limited number of categories due to their
74 adaptability to most forest environments. The Northern Forest Fire Laboratory (NFFL)
75 of the U.S. Forest Service has developed 13 fuel models (Burgan and Rothermel, 1984)
76 and the Canadian forest fire behavior prediction (FBP) system uses 14 inputs based on
77 five groups of information: type of fuel, weather, topography, foliar moisture, and type
78 and duration of prediction. Studies that have evaluated fuel models have typically

79 compared fuel loads of non-perturbed vegetation, limiting the ability to detect complex
80 fuel interactions (Harvey et al., 2014). However, tree mortality caused by forest decline
81 processes alters the fuel structure (i.e., the quantity, quality, and distribution of
82 biomass), affecting fire severity and fire behavior (Hoffman et al., 2015).

83 In typical circumstances - where forest managers need to assess fire behavior on a large
84 scale - the cost, time, and technical challenges involved in the collection of field data
85 and assignment of fuel models to achieve complete coverage of a forest are prohibitive.
86 This is particularly true in areas with steep topography or limited access. Research has
87 shown that remote sensing techniques can be used to estimate fuel characteristics and
88 models (Chuvieco et al., 2002; Schlerf et al., 2005; Peterson et al., 2008; Kokaly et al.,
89 2009; Wang et al., 2013) according to the ratio between fresh and dry leaf mass (Jia et
90 al., 2006) and the fuel moisture content (ratio between water and dry leaf mass)
91 (Chuvieco et al., 2002; Köetz et al., 2004). There has been increasing emphasis on the
92 use of higher-resolution multispectral (Riaño et al., 2002; Van Wagendonk and Root,
93 2003) or hyperspectral imagery (Jia et al., 2006) to estimate various fuel characteristics.
94 Hyperspectral remote sensing can also be applied, to detect green and dry biomass,
95 water content, and the plant area index of burned and unburned vegetation (Riaño et al.,
96 2004), using different indices such as the Normalized Difference Vegetation Index
97 (NDVI), Photochemical Reflectance Index (PRI), and Water Band Index (WBI).

98 However, optical data of passive sensors have limitations for fuel assessment. They are
99 not able to provide quantitative information about fuel biomass and structure (Jia et al.,
100 2006). Airborne laser scanning (ALS) presents advantages in this context as it is
101 capable of describing the vertical structure of a forest stand and has been used
102 successfully to map detailed forest parameters. Recently, ALS technology, in
103 combination with optical images, has been developed as an important source of

104 information for the estimation of forest variables as fuel models characteristics (Riaño et
105 al., 2004; Naesset and Gobakken, 2008; García et al., 2011; Alonso-Benito et al., 2016).
106 The use of ALS technology has many advantages given its accuracy and the ability to
107 extrapolate structural data to a large area; as well, the combination of multispectral
108 images and ALS data yields a complementary combination of structural and
109 physiological data of the forest stands. More recent ALS studies (often combined with
110 optical imagery) have focused on the extraction of fuel metrics across forest landscapes
111 (Jakubowski et al., 2013). Despite this progress, there are few examples demonstrating
112 the efficacy of using ALS integrated with hyperspectral data to extract canopy fuel
113 information from dense conifer stands across forest landscapes.

114 In this paper, we quantify three critical canopy fuel characteristics relevant for forest
115 fuels issue in *Pinus sylvestris* L. and *P. nigra* Arnold., affected by mortality processes,
116 combining hyperspectral images with ALS data. The specific objectives were: i) to
117 determine the fuel load using ALS data, ii) to determine the live fuel moisture content
118 and live-dead ratio using indices from hyperspectral remotely sensed data, and iii) to
119 quantify the effect of the image spatial resolution (2, 5, 30, and 250-m scales,
120 resolutions present in different satellite sensors currently available) and ALS point
121 density on these parameters. This methodology may help to estimate forest fuels
122 characteristics in areas affected by recent tree mortality processes in pine forest
123 plantations in the Mediterranean Basin.

124 **2. Materials and methods**

125 *2.1. Study area.*

126 The study area is located in Sierra de los Filabres (Almeria province, South-eastern
127 Spain, Lat 37°13'27"N, Lon 2°32'54"W; Figure S1, Supplementary Material). The
128 elevation of the study area ranges from 1540 to 2000 m.a.s.l., and annual rainfall ranges

129 between 300 and 400 mm. The Mediterranean climate is semi-arid with an annual
130 average temperature of 11 °C, reaching a maximum of 32 °C during the summer and a
131 minimum of -8 °C in winter. The vegetation is composed of a 40-year-old pine stand of
132 *Pinus sylvestris* with stands of *P. nigra* in surrounding areas. The forests include sparse
133 evergreen shrubs (*Adenocarpus decorticans* Boiss and *Cistus laurifolius* L.). The
134 predominant fuel models in the study area, according to Rothermel, are conifer stands
135 (type 8) with smaller areas of scattered shrubs with conifers (type 5) (Consejería Medio
136 Ambiente, 2003).

137 2.2. Field data

138 Field data characterizing a range of forest parameters were collected in 18 square plots
139 (30 x 30 m, 900 m²) covering the study area. The plot locations were randomly
140 distributed to ensure adequate sampling of the dominant fuel type (8) in Mediterranean
141 pine forests (*P. sylvestris* and *P. nigra*). The field data were collected in July 2008 and a
142 total of 1,368 trees were measured. All trees with diameter at breast height (DBH)
143 greater than 10 cm were tagged with a unique numerical ID, and the number of stems
144 per hectare (N, trees ha⁻¹), *dbh* (cm), basal area (G, m² ha⁻¹), dominant height ($H_{0.5}$, m),
145 and canopy cover (CC) were measured using a Vertex III hypsometer (Haglöf,
146 Germany) and tree calipers (Mantax 950 mm, Haglöf, Germany) (Table S1
147 Supplementary Material). Topographic variables (elevation, slope, and aspect) were
148 obtained from a digital elevation model of a 5 by 5-m grid
149 (<http://www.juntadeandalucia.es/medioambiente/site/rediam/>). This resolution was
150 assumed to be sufficient to capture the spatial variability of the surface topography.

151 Using the information collected from the field plots, the oven-dry mass of the available
152 canopy fuel load (FL, Scott and Reinhardt, 2001) for each plot was calculated for the
153 main species (*P. sylvestris*). These calculations were based on the species-specific

154 allometric equations reported in Ruiz-Peinado et al. (2011), including the biomass of
155 thick branches (diameter greater than 7 cm), medium branches (diameter between 2 and
156 7 cm), and thin branches (diameter smaller than 2 cm, together with the needles) (see
157 Navarro Cerrillo et al., 2017 for further information).

158 The live fuel moisture content (LFMC) was estimated from a subset of five trees per
159 plot and five branches per tree. These data were collected at the time of the AHS
160 imagery acquisition (between 8:00 and 12:00, GMT). The fresh mass was directly
161 determined in the field after collection. Then, samples were dried in a convection oven
162 (Estufa ORL, SR-0110, InstruLab, Spain) for 24 h at a temperature of 80°C. The LFMC
163 was calculated as:

164

$$LFMC = \frac{m_f - m_d}{m_f}$$

165

166

167 Where m_f is the green biomass and m_d is the dry biomass of the sample.

168 To estimate the relative contents of live and dead fuel, henceforth named the live-dead
169 ratio (LDR), visual ratings were made for 240 trees. Trees were considered alive or dead
170 on the basis of the percentage defoliation, with 60% as the threshold (120 trees per
171 class). A tree with defoliation greater than 60% was considered as dead; conversely, a
172 tree with less than 60% defoliation was treated as alive. This threshold was selected as a
173 significant needle loss that compromised the survival of the tree. Forest defoliation was
174 evaluated using the approach proposed by the ICP-Forests (Eichhorn et al., 2010),
175 which consists of a visual evaluation of the crown with regard to leaf loss and color
176 (Nakajima et al., 2011). To avoid subjectivity in the visual evaluation of defoliation all
177 measurements were performed by the same person.

178 *2.3. ALS and hyperspectral airborne image processing*

179 The ALS data were acquired by an Optech Airborne Laser Terrain Mapper (ALTM,
180 small-footprint, high-density, multiple returns) sensor operated at a laser wavelength of
181 1064 nm, from a flight altitude of 1500 m in August 2008. The beam divergence was
182 0.3 mrad, the pulsing frequency 33 kHz, the scan frequency 50 Hz, and the maximum
183 scan angle $\pm 10^\circ$ (Table S2, Supplementary Material). The first and last return pulses
184 were registered. The whole study area was flown over in 18 strips and each strip was
185 flown over three times, which gave an average measurement density of about 4 pulses
186 m^{-2} .

187 The spectral images acquisition was carried out by Instituto Nacional de Técnica
188 Aeroespacial (INTA) in July 2008, at 8:00 GMT and 12:00 GMT. The Airborne
189 Hyperspectral Scanner (AHS, 80 Airborne Hyperspectral Scanner, SenSyTech under R
190 & D, <https://www.uv.es/leo/sen2flex/ahs.htm>) recorded 38 spectral bands in the 0.43-
191 12.5 μm spectral range (Table S3, Supplementary Material). The flight was performed
192 in five passes covering the study area from east to west, acquiring imagery with a 90°
193 field of view (FOV) and 2.5 mrad IFOV, with a spatial resolution of 2 m. Due to sensor
194 limitations, bidirectional effects were not considered. At-sensor radiance processing and
195 atmospheric correction were performed at the INTA facilities. Atmospheric correction
196 was undertaken with ATCOR4 based on the MODTRAN radiative transfer model (Berk
197 et al., 2000), using the aerosol optical depth at 550 nm collected with a Micro-Tops II
198 sun photometer (Solar Light, Philadelphia, PA, USA).

199 *2.4. Forest Fuel characteristics*

200 Figure 1 shows the workflow followed for the mapping of the forest fuel characteristics
201 and provides a simple overview of what is described in detail within the next sections.
202 The ALS and high resolution hyperspectral imagery were processed independently to
203 produce the metrics and indices of each data type, which were used as the independent

204 variables in models to obtain the three canopy fuel characteristics: FL, LFMC, and
205 LDR.

206 *2.5. Estimation of canopy fuel load using ALS data*

207 FUSION software (forsys.cfr.washington.edu/fusion/) was used to filter and classify the
208 ALS data (McGaughey, 2009), using a triangular irregular network (TIN; Kraus and
209 Pfeifer, 1998) and generating a Digital Terrain Model (DTM). The absolute heights of
210 ALS return were normalized to heights above ground by subtracting the DTM and each
211 plot was clipped out from the data set (30 x 30 m, 900 m²), from the center point, to
212 match the field data. ALS-based height metrics were obtained for the 18 field plots:
213 minimum, maximum, mean, median, standard deviation, variance, coefficient of
214 variation, interquartile distance, skewness, kurtosis, ADD (average absolute deviation),
215 L-Moments (1-4), and percentile values (P₅ to P₉₅ in five-unit intervals and P₉₉) (Næsset
216 and Bjerknes, 2001, Table S4, Supplementary Material).

217 Predictive models were built using the fuel load attributes and metrics obtained from the
218 ALS data within each field plot. The predictor variables were selected by a
219 forward/backward stepwise selection model. Comparison of the selected models was
220 based on the coefficient of determination (R^2) and the root mean square error (RMSE).

221 *2.6. Estimation of live moisture content*

222 The Normalized Difference Vegetation Index $NDVI = (R_{800} - R_{670}) / (R_{800} + R_{670})$, Red
223 Edge Index $RE = (R_{750} - R_{710}) / (R_{750} + R_{710})$, temperature (T, °C), and NDVI ratio
224 (T/NDVI) were derived from the AHS images using the ArcGIS (ESRI, Redlands, CA)
225 and ENVI (ITT, Boulder, CO) software packages. These values were then averaged per
226 plot and used for analysis. Linear regression models to estimate the LFMC were
227 developed using AHS-imagery-derived indices (NDVI, RE, and T/NDVI). As in the
228 previous analysis, the predictor variables were selected by a forward/backward stepwise

229 selection model and selection of models was based on comparison of the same statistics:
230 R^2 and RMSE (Yuan and Lin, 2006).

231 *2.7. Estimation of live-dead ratio*

232 To estimate the LDR, the same indices (NDVI, RE, T, and T/NDVI) extracted from the
233 AHS images for the tree canopy were used, together with defoliation data from the field
234 work. Afterwards, the LDR forest fuel classes were grouped into two groups - living
235 trees, with <60% crown dead, and dead trees with $\geq 60\%$ of crown dead- using the
236 Jeffries-Matusita distance (Chang, 2003). The Jeffries-Matusita distance provides
237 numbers between 0 and 2, where 0-1 corresponds to very poor separability, 1-1.5
238 corresponds to poor separability, and 1.5-2 corresponds to high separability. All
239 statistical analyses were performed using R, version 3.4.0 (R Development Core Team,
240 2012).

241 *2.8. Spatial scale and ALS point density sensitivity analysis to quantify fuel* 242 *characteristics*

243 In order to fully understand the differences observed between forest fuel characteristics,
244 a scaling-based approach was performed. The models obtained at a scale of 2 m (AHS
245 scale) were subsequently compared, considering different spatial resolutions. Thus, the
246 resolutions simulating of different satellite sensors currently available were assessed
247 (e.g. 5 m - SPOT, 30 m – Landsat, and 250 m - MODIS). The effect of the gradient was
248 applied to the various sources of information in raster format, such as the digital model
249 of vegetation and the red edge and NDVI indices. Regarding FL, four different point
250 densities were achieved, based on a random selection of ALS pulses in a grid cell of 1
251 m^2 , and were used in the scale sensitivity process: 2, 1.5, 1, and 0.5 pulses m^{-2} (density).
252 Point reduction was performed by the algorithm ThinData, available in the libraries of
253 FUSION.

254 *2.9. Cartography of fuel characteristics*

255 The models with the highest R^2 and lowest RMSE were selected to map the FL and
256 LFMC. The LDR was mapped using the cluster classification results. Initially, the study
257 area was divided into cells of 900 m², the same size as the plots, in which the value of
258 each explanatory variable was calculated. Then, we applied the predictive models to
259 estimate the fuel characteristics in each cell, to generate the cartography of fuel
260 characteristics.

261 **3. Results**

262 *3.1. Fuel load and fuel moisture content quantification*

263 The empirical models used to estimate the fuel load (FL) and live fuel moisture content
264 (LFMC), using ALS-based metrics and multispectral indices, are summarized in Table
265 1. Following the independent variable data selection, the models using the height
266 variable (P₉₉, H_P₉₉) were the most successful. The FL models based on regression
267 methods provided R^2 values that ranged from 0.57 to 0.64 (Table 1), with an RMSE
268 below 13 Mg ha⁻¹. The scatterplots for the best ALS-based prediction of FL and LFMC
269 and the observed values are contained in Figures 2 and 3. The best model for FL was
270 obtained using an ALS density of 2 points m⁻² ($R^2=0.640$, $p<0.01$; RMSE=13.71 Mg ha⁻¹)
271 ¹⁾ (Figure 2). The best model for LFMC was obtained using the T/NDVI index at 5-m
272 spatial resolution ($R^2=0.919$, $p<0.01$; RMSE=0.827) (Figure 3). The models showed
273 low values of bias in all cases, with consistency of the prediction models.

274 *3.2. Live-dead ratio quantification*

275 The results of the Jeffries-Matusita distance for LDR are shown in Figure 4. It can be
276 seen that the RE index provided the highest separability (1.83) between the two types of
277 LDR, rather than NDVI (0.05) or T/NDVI (0.3).

278 *3.3. Multi-scale aggregation effects*

279 Figure 4 shows the fuel quantification for the study area, considering different spatial
280 resolutions. The plot-aggregate ALS and AHS metrics performed better at spatial
281 resolutions of 5 m (Table 1) and 2 points m⁻² than at other scales (Figures 2 and 3).
282 However, at lower ALS densities this difference was not statistically significant ($R^2 >$
283 0.57), indicating that reduced-density ALS metrics yielded accuracies similar to those of
284 higher densities.

285 For the FL, a mean value of 73.96 Mg ha⁻¹ (± 34.28 Mg ha⁻¹) was obtained using an
286 ALS-based density of 0.5 points m⁻². A decrease in the ALS data resolution produced a
287 decrease in the FL, yielding a mean value of 69.64 Mg ha⁻¹ (± 29.65 Mg ha⁻¹) for 1 point
288 m⁻², 69.24 Mg ha⁻¹ (± 29.36 Mg ha⁻¹) for 1.5 points m⁻², and 65.87 Mg ha⁻¹ (± 25.90 Mg
289 ha⁻¹) for 2 points m⁻².

290 The LFMC showed similar mean values for the four resolutions, a mean value of
291 moisture of 57.51 \pm 2.64% being obtained using a resolution of 2 m. An aggregation
292 resolution produced similar mean moisture contents (%): 57.51 \pm 12.89 for a resolution
293 of 5 m, 57.17 \pm 3.17 for a resolution of 30 m, and 56.93 \pm 4.31 for a resolution of 250 m.

294 Regarding the LDR determined using the RE index, 30.75% of the surface was
295 classified as dead fuel (>60% defoliation) for a spatial resolution of 2 m. A decrease in
296 the spatial resolution of the images resulted in an equivalent percentage of surface
297 covered by dead trees, with a mean value of LDR of 29.38% for a resolution of 5 m and
298 21.32% for a resolution of 30 m. However, the 250-m resolution (8.78%) produced a
299 considerable decrease in the LDR surface.

300 *3.4. Cartography of fuel characteristics*

301 Figure 5 shows the cartography of the estimations of FL, LFMC, and LDR made using
302 the empirical models from the ALS and AHS data (Table 1) and the cluster
303 classification of the study area. We obtained a mean FL value of 65.87 Mg ha⁻¹ (± 25.90

304 Mg ha⁻¹) for 2 points m⁻², ranging from 286 Mg ha⁻¹ to 0 Mg ha⁻¹. As for the FL
305 distribution, 37% of the surface had an FL of 0-60 Mg ha⁻¹, 52.14% an FL of 60-120
306 Mg ha⁻¹, 10.03% a value between 120 and 180 Mg ha⁻¹, and only 0.83% had an FL >180
307 Mg ha⁻¹. The average LFMC content was 57.51% (±12.89%), ranging between 90% and
308 0%. Finally, 30.75% of the surface was classified as dead fuel (≥60% defoliation) for
309 the 2-m spatial resolution.

310 **4. Discussion**

311 Several studies have demonstrated the importance of fuel characterization in the study
312 of fire behavior (Sandberg et al., 2001; Chuvieco et al., 2009). In this study, we quantify
313 the fuel characteristics of *Pinus* plantations affected by mortality processes, based on
314 the combination of hyperspectral and ALS data. This approach focused on the main
315 parameters that contribute to forest fire behavior and severity: the fuel load (FL), live
316 fuel moisture content (LFMC), and live-dead fuel ratio (LDR). We have used ALS data,
317 hyperspectral images of high spatial resolution, and a statistical approach based on
318 multiple regression models and cluster classification to predict these parameters. Our
319 results confirm the findings reported elsewhere (Alonso-Benito et al., 2016; Su et al.,
320 2016), showing strong relationships between ALS and spectral data and fuel
321 characteristics.

322 Over the years, fuels have been grouped into different categories, to attempt to explain
323 the behavior of forest fires (Burke and Rothermel, 1984; Sullivan, 2009). These fuel
324 types allow us to simplify and summarize the features of the forest fuels involved in the
325 ignition process, as well as to describe the fire behavior (Sullivan, 2009). Numerous
326 classification models have been developed around the world and each model has been
327 parametrized using local site data, making it difficult to apply these classifications
328 outside the locations where they were created. Also, fuel models present serious

329 limitations due to the cost and time required for data acquisition in the field. However,
330 several studies have shown the usefulness of remote sensors in the estimation of fuel
331 characteristics (Erdody and Moskal, 2010; García et al., 2011). The use of sensors with
332 better spatial and spectral resolutions gives models of greater accuracy, which result in a
333 better approximation of the estimated values to the real values of the variables studied
334 (Su et al., 2016).

335 In recent years, ALS sensors have been used, mainly for the determination of fuel
336 heights, a critical factor for the discrimination of forest fuel characteristics, but also in
337 the estimation of FL (Andersen et al., 2005; Hermosilla et al., 2014). In this sense, our
338 results have established an empirical relationship between ALS metrics and FL.
339 According to the model generated, the use of a single variable (H_{P99}) is able to
340 generate accurate information on the total FL in fairly homogeneous forests composed
341 mainly of *Pinus sylvestris* and *P. nigra* plantations. This result is in agreement with
342 previously published work (Andersen et al., 2005). The use of ALS technology has
343 many advantages, given its accuracy and ability to extrapolate to the whole area of
344 study; as well, the combination with hyperspectral images to provide structural and
345 physiological data of the forest stands (Erdody and Moskal, 2010). The methodological
346 approach proposed on this study could be applied more generally to other pine
347 plantations in the Mediterranean area, given the similar spatial structure and fuels
348 behavior (Mitsopoulos and Dimitrakopoulos, 2014).

349 A linear relationship between field values of LFMCI and T/NDVI ratio has been defined
350 (T/NDVI; $R^2=0.91$, 5-m spatial resolution). The advantage of using this index is that it
351 avoids the use of sensors with specific bands for the determination of vegetation
352 moisture (spectral data lengths between 1000 and 3000 nm), as is the case of InGaAs
353 sensors. The use of such sensors is rather limited today, mainly because of the small

354 number of satellites that incorporate these sensors, as well as the technical problems
355 associated with their use in airborne systems - mainly due to their high weight and the
356 problems associated with calibration (Toth and Józków, 2016).

357 The red edge index (RE) classification provides an empirical basis for the estimation of
358 LDR, derived from the good relationship between the red edge band and the state of
359 vigor (mortality) of the vegetation at the leaf and canopy scales (Zarco-Tejada et al.,
360 2002; Im and Jensen, 2008). Considering the classification of LDR values, it was
361 difficult to classify the percentages of live and dead tree crowns, and to estimate them in
362 large areas. This requires a simplification of the LDR classes, which in this work have
363 been reduced to two ($<60\%$ and $\geq 60\%$); this presupposes that some samples are close to
364 the thresholds of classification and therefore are hard to categorize for the observer.
365 Additionally, since the distribution of mortality levels among trees and crowns was
366 uneven in the study area and AHS images only indicate the forest surface spectral
367 characteristics, our results may be limited. This is particularly problematic for the
368 detection of change in areas where the dominant trees are unaffected, which can result
369 in a significant change in forest surface spectral reflectance (Liu et al., 2006). In spite of
370 these limitations, the LDR classification results obtained in our study are comparable to
371 the results reported for the same area using pigments as a damage estimation variable
372 (Navarro-Cerrillo et al., 2014).

373 To examine the influence of different scales on the detection of fuel types , a scaling-
374 based comparison of the AHS models was applied, considering different spatial
375 resolutions (5 m - SPOT, 30 m – Landsat, and 250 m – MODIS; Figure 5). Moreover,
376 different point cloud densities of ALS flight may also influence the values of the
377 estimated fuel characteristics. In this sense, our results show that the estimated variables
378 can be modeled with good precision for the estimated biomass variables of *P. nigra* and

379 *P. sylvestris* forests using medium and low-resolution ALS pulse density (2 points m⁻²),
380 as observed also in previous studies (Kramer et al., 2014). However, at lower ALS
381 densities this difference was not statistically significant, indicating that reduced-ALS-
382 density metrics yielded accuracies similar to those of higher densities. The LFMC
383 showed similar mean values for the four resolutions; although, a reduction of the model
384 precision with resolutions lower than 5 m was observed, possibly influenced by the
385 effect of the soil which may indicate that the model does not work at low resolutions.
386 Regarding the LDR, 30.75% of the surface was classified as dead fuel (>60%
387 defoliation) for the 2-m spatial resolution; for resolutions lower than 2 m the LDR
388 values decreased rapidly.

389 Finally, the ability to map fuel characteristics (FL, LFMC, and LDR) in pine plantations
390 has been assessed (Hermosilla et al., 2014). This showed that accurate mapping of fuel
391 characteristics can be obtained using a limited number of field measurements. The
392 integration of physiological information from the forest stands, provided by
393 hyperspectral images, complements the structural information provided by the ALS
394 data. Recently, the cost of ALS data acquisition has decreased (Tilley et al., 2004) - it
395 can be obtained for free in some countries (e.g. in Spain, 0.5-1.0 points m⁻²) - and is
396 comparable to or even less expensive than the cost of large-scale field data collection
397 (Jakubowski et al., 2013). Likewise, the cost of medium-spatial-resolution images (e.g.
398 RapidEye, Sentinel 2A, World-View) has fallen significantly and the accessibility to
399 them has been simplified (Johansen et al., 2010). As a consequence, considering the
400 improvement achieved by using ALS-derived products and remote sensing data to
401 detect fuel parameters and for mapping, it may be a better alternative for forest
402 managers.

403 For future studies we also recommend a closer look at the use of spaceborne
404 applications of LiDAR (e.g. Geoscience Laser Altimeter System-GLAS on the Ice
405 Cloud and Land Elevation Satellite-ICESat) for forest fuels studies with an emphasis on
406 characterizing forest canopy parameters (Tian et al., 2017), including the combinations
407 of airborne and spaceborne data (Su et al., 2017).

408 **5. Conclusions**

409 Nowadays, there are a large number of satellites that are continuously monitoring
410 forests as well as producing images of different spectral, spatial, and temporal
411 resolution, with different acquisition costs (SPOT, Landsat, ChrisProba, Hyperion, etc.).
412 Also, extensive ALS data are provided at the national scale (e.g. the PNOA Project, in
413 Spain) and allow the combination of the two types of data. In this study, we developed
414 and tested a group of models to illustrate the use of AHS and ALS data to predict and
415 extend our knowledge of forest fuels characteristics (fuel load, live fuel moisture
416 content, and live-dead ratio) in a *Pinus sylvestris* and *P. nigra* plantation in Southern
417 Spain and, as a consequence, mapping different forest fuel characteristics. The proposed
418 relationships have worked reasonably well in homogeneous pine forests in terms of the
419 similarity of the predicted values to the measured values of the variables tested, and we
420 believe that they would do so also in other environments with similar conditions and
421 fuel types.

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