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# Artificial selection with traditional or genomic relationships: consequences in coancestry and genetic diversity

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### 2 ABSTRACT

3 Estimated breeding values (EBVs) are traditionally obtained from pedigree information.  
4 However, EBVs from high-density genotypes can have higher accuracy than EBVs from pedigree  
5 information. At the same time, it has been shown that EBVs from genomic data lead to lower  
6 increases in inbreeding compared with traditional selection based on genealogies. Here we  
7 evaluate the performance with BLUP selection based on genealogical coancestry with three  
8 different genome-based coancestry estimates: (1) an estimate based on shared segments of  
9 homozygosity, (2) an approach based on SNP-by-SNP count corrected by allelic frequencies,  
10 and (3) the identity by state methodology. We evaluate the effect of different population sizes,  
11 different number of genomic markers, and several heritability values for a quantitative trait. The  
12 performance of the different measures of coancestry in BLUP is evaluated in the true breeding  
13 values after truncation selection and also in terms of coancestry and diversity maintained.  
14 Accordingly, cross-performances were also carried out, that is, how prediction based on  
15 genealogical records impacts the three other measures of coancestry and inbreeding, and  
16 viceversa. Our results show that the genetic gains are very similar for all four coancestries,  
17 but the genomic-based methods are superior to using genealogical coancestries in terms  
18 of maintaining diversity measured as observed heterozygosity. Furthermore, the measure  
19 of coancestry based on shared segments of the genome seems to provide slightly better  
20 results on some scenarios, and the increase in inbreeding and loss in diversity is only slightly  
21 larger than the other genomic selection methods in those scenarios. Our results shed light on  
22 genomic selection versus traditional genealogical-based BLUP and make the case to manage  
23 the population variability using genomic information to preserve the future success of selection  
24 programmes.

25 **Keywords:** Genomic selection, coancestry, inbreeding, breeding value, genetic diversity

## 1 INTRODUCTION

26 Best linear unbiased prediction (BLUP) is possibly the most common selection method in animal and plant  
27 breeding, where it is used to calculate estimated breeding values (EBVs). BLUP evaluations maximize  
28 the genetic gain given the data by increasing the accuracy of the predictions (**Henderson**, 1984). This  
29 method relies on both the additive relationship matrix between the individuals in the population, which  
30 are traditionally obtained from pedigree records, and on phenotypic records of the candidates to selection.  
31 Such is the power of BLUP that it is actually not only used in breeding programmes, but also in  
32 evolutionary ecology to estimate the strength of selection and evolutionary change (see **Hadfield et al.**  
33 (2010) for a review) and more recently in human genetics for the prediction of complex traits (**Makowsky**  
34 **et al.**, 2011).

35 With the advent of high-throughput genotyping techniques and the development of chips containing  
36 thousands of single nucleotide polymorphisms (SNPs) at a reasonable cost, the implementation of  
37 genome-wide evaluations (**Meuwissen et al.**, 2001; **Goddard and Hayes**, 2007) is routinely used in many  
38 breeding programs, and conventional BLUP selection based on pedigrees is now migrating to genomic  
39 selection.

40 Genome-based EBV (estimated breeding values based on high-density marker data across the genome)  
41 have generally yielded a higher accuracy than pedigree-based EBV (**Meuwissen et al.**, 2001; **Goddard**,  
42 2009; **Hayes et al.**, 2009; **Sonesson et al.**, 2012; **Rodriguez-Ramilo et al.**, 2014). This is because  
43 genetic markers provide a more accurate relationship matrices than pedigree data (**Goddard**, 2009), which  
44 accounts for the expected genetic relationships. For example, while the genealogical relationship between  
45 two full-sibs is 0.5, using molecular markers like high-density SNP chips, a more accurate value can  
46 be obtained, thus showing that the true relationship deviates from 0.5 (**Visscher et al.**, 2006) and varies  
47 among pairs of sibs, depending on the segregation of the parental chromosomes (**Garcia-Cortes et al.**,  
48 2013).

49 Genomic selection can therefore lead to high levels of accuracy at an early age and generation intervals  
50 can be shortened leading to faster genetic gains within a specific breeding program. Furthermore, genomic  
51 selection not only has increased the accuracy in the breeding values, but also the increase in inbreeding  
52 per generation is lower than that obtained with conventional pedigree-based BLUP selection (**Daetwyler**  
53 **et al.**, 2007; **Sonesson et al.**, 2012). However, both traditional and genomic selection increase the levels  
54 of both inbreeding and coancestry, thus decreasing the pool of genetic diversity. This has wide-ranging  
55 consequences, as it is clear that such variation is needed for selection but also to avoid leading the  
56 population into extinction (**Frankham et al.**, 2002). A crucial issue thus is a thorough understanding  
57 of the measures of coancestry between individuals and how they are affected by the relationship matrix  
58 used in the selection process, i.e., pedigree or genomic-based coancestries.

59 Traditionally, genealogical measures from pedigree records were used to calculate coancestry. As  
60 molecular markers became commonly used, estimates of genealogical coancestry from these markers  
61 were developed (**Weir et al.**, 2006). It is only with the high-density panels that replacing genealogical  
62 coancestry with marker-based coancestry has become accepted as leading to more accurate predictions  
63 (**Meuwissen et al.**, 2001; **Meuwissen**, 2007; **Solberg et al.**, 2008) and to maintain more diversity in  
64 conservation programmes (**de Cara et al.**, 2011). However, while the increase in accuracy in the EBVs  
65 using different marker types and densities is well understood (**Solberg et al.**, 2008; **Jannink**, 2010),  
66 the effect of different measures of coancestries in genomic and traditional selection has not received as  
67 much attention (**Sonesson et al.**, 2012; **Bjelland et al.**, 2013; **Luan et al.**, 2014). For instance, genomic  
68 selection to estimate marker effects and predict the breeding values from them exploits the linkage  
69 disequilibrium between the markers in the panel and the causal mutations or QTL (**Habier et al.**, 2007;  
70 **de los Campos et al.**, 2010). When selection is performed via BLUP based on genomic relationships,  
71 the genetic gain is superior based on these relationships as compared to BLUP based on pedigree based  
72 relationships (**Villanueva et al.**, 2005; **Meuwissen**, 2007) when the number of candidates for selection  
73 is large (**Sonesson et al.**, 2012; **Bastiaansen et al.**, 2012) Furthermore, selection based on genomic

74 relationships also leads to lower increases in inbreeding and maintains more diversity (Sonesson et al.,  
75 2012; Liu et al., 2014).

76 In this study we analyse the effect of BLUP selection with four measures of coancestry on the genetic  
77 gain and on the increase in coancestry and inbreeding. For this purpose, we carry out simulations with  
78 three different genome-based relationship matrices and the matrix of genealogical relationships when  
79 inferring breeding values using BLUP. The three genomic measures of coancestry were: (1) based on  
80 shared segments of homozygosity (Fisher, 1954; Stam, 1980; Gusev et al., 2009), (2) using identity by  
81 state, that is, marker-by-marker similarity (Eding and Meuwissen, 2001; Caballero and Toro, 2002) and  
82 (3) based on a marker-by-marker count corrected by allelic frequencies (VanRaden, 2008). We measured  
83 the performance of selection with BLUP based on these four coancestries by analysing the genetic gain  
84 as measured with the true breeding values (TBVs).

## 2 MATERIAL AND METHODS

### 2.1 BASE POPULATION

85 A base population was simulated with an effective size of 1000 individuals (half males, half females)  
86 during 10000 generations until an equilibrium in the average genome-wide heterozygosity was reached.  
87 Every individual had a genome of 10 chromosomes of 1M with 10100 biallelic positions each. Initially,  
88 every position in the genome carried alleles 0 or 1 at random, so that the average initial heterozygosity was  
89 0.5. The mutation rate per position and generation was  $2.5 \times 10^{-3}$ . Every generation during the creation of  
90 the base population we firstly performed mutations in every individual, then chose a male and a female at  
91 random with replacement and produced an offspring with recombination. The number of recombinations  
92 per chromosome were sampled from a Poisson distribution and the recombination positions were drawn  
93 from a uniform distribution. The base populations were generated with a fortran 90 code available upon  
94 request.

### 2.2 SELECTION

95 We performed 100 replicates of each scenario here studied by selecting 1000 polymorphic positions from  
96 this base population to be later used as selective loci (also known as QTLs in the literature). We sampled  
97 these selective loci from positions with  $0.05 < p_j < 0.95$ , where  $p_j$  is the allelic frequency of allele 1  
98 at locus  $j$ . Note thus that the 100 replicates are all created from one single base population by selecting  
99 different selected loci and different individuals in each replicate.

100 Founder individuals for each replicate were chosen at random from the base population without  
101 replacement, by drawing an equal number  $N$  of founder sires and dams from the base population to  
102 create generation 0. We then performed 6 generations of random mating to record the genealogy.

103 From generation 7 onwards we performed truncation selection for 15 generations (up to generation 21),  
104 by selecting the best 50% of the sires and 50% of the dams. These sires and dams were mated at random  
105 to produce  $N$  sires and  $N$  dams for the next generation.

106 The default parameters used in our simulations are  $N = 50$ , a marker density of 10100 markers  
107 per chromosome and a trait with heritability of  $h^2 = 0.25$ . To have a thorough understanding of the  
108 dependence of the results on population size, heritability and marker density, we also studied the following  
109 scenarios: we evaluated population sizes  $N = 10$  and  $N = 30$ , two other heritabilities of the quantitative  
110 trait ( $h^2 = 0.10$  and  $0.50$ ) and two other lower marker densities (2525 and 5050 markers per chromosome).  
111 Table 1 shows a summary of the simulated scenarios.

## 2.3 CALCULATION OF PHENOTYPIC VALUES AND TRUE AND ESTIMATED BREEDING VALUES

112 The true breeding value of individual  $i$  was calculated as

$$TBV_i = \sum_{j=1}^{n_S} a_j (x_{ij} - 1), \quad (1)$$

113 where  $x_{ij}$  is the number of copies of the allele 1 that individual  $i$  has at the  $j$ -th selective locus,  $a_j$  is  
 114 the effect of the allele 1 at position  $j$  and  $n_S$  is the number of selective loci. The values of the effects  $a$   
 115 were drawn from a Gaussian distribution with mean zero and variance one. The phenotypic values ( $y_i$ ) of  
 116 individuals were simulated as

$$y_i = \mu + TBV_i + e_i, \quad (2)$$

117 where  $e_i$  is an error term for individual  $i$ , which was normally distributed with mean zero and variance  
 118  $\sigma_e^2$ . The phenotypic average  $\mu$  was set arbitrarily to be equal to 100, although this value does not affect the  
 119 estimated breeding values. The variance  $\sigma_a^2$  was calculated as the empirical variance of the true breeding  
 120 values in the base population and  $\sigma_e^2$  was adjusted so that the heritability was the desired  $h^2$ .

121 Estimated breeding values were calculated by solving Henderson's mixed model equations (**Henderson**,  
 122 1984) as follows:

$$\begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{Z} \\ \mathbf{Z}'\mathbf{X} & \mathbf{Z}'\mathbf{Z} + \frac{\sigma_e^2}{\sigma_a^2}\mathbf{A}^{-1} \end{bmatrix} \begin{bmatrix} \hat{\mu} \\ E\hat{BV} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{Z}'\mathbf{y} \end{bmatrix}, \quad (3)$$

123 where  $\mathbf{X}$  and  $\mathbf{Z}$  are the incidence matrices for the fixed and random effects respectively and  $\mathbf{A}$  is the  
 124 relationship matrix. We assumed the variance components to be known. Eq. (3) provides the pedigree-  
 125 based breeding values, while genomic based breeding values can be obtained by replacing  $\mathbf{A}$  and  $\sigma_a^2$  in  
 126 eq. (3) by the following genomic relationships and variances.

127 **2.3.1 Coancestry estimates** The four following genetic relationship matrices, here defined as twice the  
 128 coancestry coefficient, were used:

- 129 1. *Additive relationship matrix (A)*: This was calculated using the coancestry coefficient between  
 130 individuals  $i$  and  $k$ ,  $f_A(i, k)$  following (**Malecot**, 1948) as the probability that two alleles taken at  
 131 random, one for each individual, are identical by descent (IBD).
- 132 2. *Marker-by-marker relationship matrix (G)*: In this case, the coancestry coefficient between  
 133 individuals  $i$  and  $k$ ,  $f_G(i, k)$ , is the probability that two alleles at a given locus taken at random  
 134 from each individual are equal (identical by state, IBS). In this study,  $f_G(i, k)$  was calculated as  
 135  $f_G(i, k) = \frac{1}{4M} \sum_{n=1}^M \sum_{l_i=1}^2 \sum_{m_k=1}^2 I_n(l_i, m_k)$  where  $M$  is the number of markers and  $I_n(l_i, m_k)$  is  
 136 the identity of gamete  $l$  from individual  $i$  with gamete  $m$  from individual  $k$  at marker  $n$  and takes a  
 137 value of 1 if both alleles are identical and 0 otherwise.
- 138 3. *ROH-based relationship matrix (R)*: Following the study by **de Cara et al.** (2013), the coancestry  
 139 coefficient based on shared segments of the genome between individuals  $i$  and  $k$  was calculated as  
 140  $f_R(i, k) = \frac{1}{4L} \sum_j \sum_{a_i=1}^2 \sum_{b_k=1}^2 L_j(a_i, b_k)$ , where  $L_j(a_i, b_k)$  is the length of the  $j$ -th shared segment  
 141 measured over the gametes  $a_i$  and  $b_k$  of individuals  $i$  and  $k$  and  $L$  is the length of the genome. For  
 142 a region to be considered a shared segment, we used a minimum length of 100 shared contiguous  
 143 markers. The idea behind this segment-based relationship is that a segment shared between parents is  
 144 a potential run of homozygosity (ROH) in the offspring.

145 4. *Marker-by-marker corrected by allele frequencies relationship matrix (V)*: Following **VanRaden**  
 146 (2008) a measure of coancestry  $f_V(i, k)$  between individuals  $i$  and  $k$  can be calculated as

$$f_V(i, k) = \frac{1}{M} \sum_{n=1}^M \frac{(g_{in} - p_n)(g_{kn} - p_n)}{p_n(1 - p_n)}, \quad (4)$$

147 where  $g_{in}$  refers to the gene frequency value genotypes 00, 01 and 11, coded as 1, 0.5 and 0,  
 148 respectively, of individual  $i$  at locus  $n$ . Gene frequency is half the number of copies of the reference  
 149 allele 1 and  $p_n$  is set at 0.5 (**Forni et al.**, 2011).

150 Every generation we estimated the additive variance of the base population using restricted maximum  
 151 likelihood (REML). We performed REML by using a Monte Carlo expectation-maximisation (EM)  
 152 algorithm (**Guo and Thompson**, 1991) to avoid the repeated matrix inversion required by exact  
 153 algorithms (**Meyer**, 1991). Additive variances were estimated after six thousand iterations and discarding  
 154 the first 1000. As for the base population, the fortran 90 code for the selection process is available upon  
 155 request.

### 3 RESULTS

156 As summarised in Table 1, we studied a combination of three population sizes, three heritabilities of the  
 157 trait and three marker densities. The default case unless otherwise stated is the case of 10100 markers per  
 158 chromosome, heritability  $h^2 = 0.25$  and a population size with 50 males and 50 females per generation.

#### 3.1 DISTRIBUTION OF COANCESTRIES

159 Most likely, the differences in our results are going to be due to the distribution of coancestries, as the  
 160 different selection strategies here performed are based on the matrix of relationships between individuals.  
 161 We show in Fig. 1 the distributions for the four measures of relationships prior to selection and give  
 162 the variance within each figure. There we can see how the shape of the distribution of the genealogical  
 163 coancestry is multimodal, given the sparse nature of the genealogical coancestry matrix and its distribution  
 164 has the largest variance of all coancestry matrices, as well as the lowest mean. The distribution of  
 165 coancestries  $f_V$  and  $f_G$  are fairly similar, the first one having a lower mean and a slightly larger variance  
 166 although both distributions have a very small variance. Lastly, the distribution of coancestries  $f_R$  has  
 167 a mean considerably lower than the other genomic coancestries  $f_V$  and  $f_G$  and a substantially larger  
 168 variance.

#### 3.2 GENETIC GAIN

169 Changes in TBVs obtained with the four relationship matrices for three population sizes  $N = 10$ ,  $N = 30$   
 170 and  $N = 50$ , three heritabilities  $h^2 = 0.1$ ,  $h^2 = 0.25$  and  $h^2 = 0.50$ , as well as three marker densities of  
 171 2525, 5050 and all 10100 per chromosome are shown in Fig. 2 versus generations. We only show results  
 172 after generation 7, when selection starts. For a better comparison between the different coancestries here  
 173 used, we show the value at each generation minus the initial value right before selection (ie, at generation  
 174 7). Overall, all four methods performed similarly in terms of genetic gain for the sizes here studied. As  
 175 expected, the final TBV increased with the number of individuals and with the heritability of the trait. The  
 176 density of markers had no effect when selecting with the genealogical coancestry  $f_A$ , as expected, and,  
 177 within the range of densities here studied no differences were detected in the genetic gains achieved by  
 178 the genomic based estimates  $f_V$  and  $f_G$ . The most surprising result is that for a low density of markers,  
 179 the genetic gain is larger performing selection based on  $f_R$ . It must be noticed that the size for a region  
 180 of homozygosity to be considered as such was kept constant and thus, a ROH of 100 contiguous markers



181 covers a much longer stretch than for 10100 marker per chromosome. This is also surprising as it has  
182 been pointed out that the longer the ROH, the more correlated ROH-based inbreeding is with genealogical  
183 inbreeding.

### 3.3 CHANGES IN RELATEDNESS

184 We show in Fig. 3 results for the changes in each of the four measures used of coancestry with each  
185 selection scenario. We have used a logarithmic scale as overall, the differences between genealogical  
186 based selection and genomic based selection were very large. That is, line “A” shows the results for  
187 genealogical coancestry resulting from selecting based on this coancestry  $f_A$  and so on for scenarios G,  
188 R and V. The results for inbreeding are not shown as they display a very similar pattern. In order to better  
189 appreciate the differences between the four measures of coancestries, we show  $\log [(1 - f)/(1 - f_7)]$  in  
190 Fig. 3. In this way, we compare the speed of increase in each average coancestry scaled with their values  
191 at generation 7 ( $f_7$ ), right before selection started. The increase in genealogical coancestry (the decay  
192 in this log scale) is the largest, followed by ROH-based coancestry. Changes in  $f_V$  and  $f_G$  are hardly  
193 distinguishable and very similar to  $f_R$  for small heritability. The smaller the population, the larger the  
194 increase in any measure of coancestry. The differences in  $f_G$  and  $f_V$  are hardly different as heritability  
195 increases from  $h^2 = 0.25$  to  $h^2 = 0.5$ .

196 In Fig. 4 we show a similar plot for the change in pedigree based coancestry obtained under each  
197 selection scenario. All cases studied showed that the three genomic based selection led to lower increases  
198 in pedigree-based coancestry and the differences between the selection based on genomic relationships  
199 are hardly noticeable. The results are very similar for  $f_V$  and  $f_G$  based BLUPs on genealogical coancestry  
200 and it seems that  $f_R$ -based BLUP leads to slightly larger genealogical coancestries.

### 3.4 DIVERSITY MAINTAINED

201 As a measure of the diversity maintained we used  $f_G$ , as this is directly related to observed heterozygosity.  
202 In Fig. 5, we show the changes on this marker-by-marker relatedness over generations when selection was  
203 carried out using the four strategies analyzed. As previously done for all coancestries and for genealogical  
204 coancestry, we show its rate of decrease by plotting  $\log(1 - f_G)$  in Fig. 5, minus this value right before  
205 starting selection  $\log(1 - f_G(7))$  to compare all selection processes. Therefore, in this scale, the largest  
206 decrease means the largest increase in  $f_G$ .

207 It is important to highlight that the highest loss in genetic diversity (the largest increase in  $f_G$ ) was  
208 observed for the selection based on the additive relationship matrix without exception. The fastest decay  
209 is for the smallest population size of  $N = 10$  and then for  $N = 30$  and this decay is largest with decreasing  
210 population size than heritabilities or marker densities. Within each scenario, it seems that initially most  
211 diversity is maintained selecting with the genomic coancestries and the difference between  $f_G$  and  $f_V$   
212 is small. The difference between  $f_G$  or  $f_V$  and  $f_R$  is small, though  $f_R$ -based BLUP can lead to slightly  
213 larger decreases in molecular coancestry than the other two genomic measures of relatedness, especially  
214 for small marker density. That is,  $f_R$ -based BLUP maintains slightly less genetic diversity than the other  
215 genomic based BLUPs.

## 4 DISCUSSION

216 We have shown here results for truncation selection performed with four different measures of coancestry:  
217  $f_A$ ,  $f_G$ ,  $f_R$  and  $f_V$ . All results shown are selecting the top 50% of sires and dams and we have compared  
218 results with three different population sizes, three different heritabilities of the selected trait and three  
219 different number of markers per chromosome.

220 We have performed 6 initial generations of random mating to have a deeper pedigree and have a fairer  
221 comparison between molecular markers which record the whole population history and genealogies,  
222 which are usually only stored when the selection programme starts.

223 There seems to be currently a consensus that genomic BLUP selection, whereby we mean selection  
224 based on genomic measures of relatedness, is superior to traditional pedigree-based BLUP selection  
225 (Daetwyler et al., 2007, 2010; Sonesson et al., 2012) in terms of higher genetic gain and lower increase  
226 in inbreeding. However, few studies have paid attention to the loss of genetic variability caused by each  
227 selection strategies of selection (Jannink, 2010; Bastiaansen et al., 2012; Liu et al., 2014; Heidaritabar  
228 et al., 2014). We discuss our main conclusions and the differences with these previous studies below.

#### 4.1 ON GENETIC GAIN

229 One of the main properties of BLUP is that by definition, the largest gain is obtained when the additive  
230 genetic variance of the base population is known. This is a difficult task, as for a large number of loci  
231 under selection which may be linked, the standard formula of  $\sigma_a^2 = \sum_{j=1}^{n_S} 2p_j(1-p_j)a_j^2$  (Falconer and  
232 Mackay, 1996) does not apply. Furthermore, this variance is not appropriate when the performed BLUP  
233 relies on the genomic relationships  $f_G$ ,  $f_R$  or  $f_V$ . Thus, we estimated the additive variance components  
234 using REML. While it is well known that the estimates obtained with REML are more accurate for larger  
235 population sizes than the ones here studied, the differences between the four selection strategies here  
236 studied are small. We think that these differences are independent of whether the variance could have  
237 been better estimated. We believe that a more accurate estimate of the variance of the base population  
238 would lead to larger gains for all four BLUPs here performed and the differences in the trends would stay  
239 the same.

240 Overall, the genetic gain was very similar with the four relationship matrices, although BLUP based  
241 on  $f_R$  performed slightly better than the other BLUPs in terms of gain for lower marker densities. It also  
242 performed somewhat better for small population size and the intermediate heritability here studied, at  
243 least up to generation 18 (ie, after 10 generations of selection). It is worth emphasizing that for the lower  
244 marker densities here studied, we kept the same threshold size of 100 consecutive markers for a run of  
245 homozygosity to be considered as such. That means that for 2525 markers per chromosome, such ROH  
246 would cover a section of about 4cM, while for 10100 a ROH of 100 consecutive markers covers 1cM.  
247 Thus, for higher marker densities, it is likely that the gains could be increased by using a larger threshold  
248 for what is considered a ROH.

249 As expected, the final true breeding values were larger for larger population size and for higher trait  
250 heritability. This is due to the larger genetic variance for larger population sizes in which selection can  
251 act upon, while the negative effects of inbreeding are reduced with higher population sizes. It is however  
252 somewhat surprising that the differences are small in genetic gain with marker densities for the genomic  
253 relationships matrices, particularly for  $f_V$  and  $f_G$ .

254 In genomic selection, markers that densely cover the genome are expected to be in complete or partial  
255 linkage disequilibrium with the trait under selection. Genomic prediction based on IBS information uses  
256 the family structure of the population (Habier et al., 2007), since the markers capture the linkage  
257 disequilibrium that arises from the family structure. Recently, Luan et al. (2014) have proposed an  
258 approach to predict genomic estimated breeding values from runs of homozygosity. This study indicates  
259 that runs of homozygosity yield a multi-locus measure of linkage disequilibrium and thus can account for  
260 larger chromosomal distances to capture linkage disequilibrium than genomic prediction based on IBS  
261 information. It is worth noting that in their study, Luan et al. (2014) used a somewhat different definition  
262 of segment that we have used here, They obtained slightly better predictions for the ROH-based scenarios  
263 than for other genomic-based scenarios. Our results seem to be in line with those obtained by Luan  
264 et al. (2014), although a more thorough analysis of both methods is required for a better comparison.  
265 The measure of ROH used by Luan et al. (2014) does not seem to require a threshold size for a run of  
266 homozygosity, but it requires knowledge of the mutation rates and the effective population size.

267 No significant differences were detected between the genetic gain obtained with  $f_G$  and  $f_V$ . The reason  
268 is that with the  $f_G$  approach alleles that are IBD and IBS can not be distinguished and are both included  
269 in the coancestry (and inbreeding) measures. To express both pedigree- and genomic-based estimates in  
270 the same scale several methodologies have been proposed **Toro et al.** (2011). However, these methods are  
271 generally inaccurate and their performances are very similar to those for  $f_G$  **Toro et al.** (2002).

272 **Sonesson et al.** (2012) compared breeding schemes by simulating truncation or optimum contribution  
273 selection. They estimated breeding values based on genome- or pedigree-based BLUP and  
274 recorded trait information on full-sibs of the candidates. This study concluded that to control inbreeding it  
275 is necessary to account for it on the same basis as what is used to estimate breeding values. Our results are  
276 in general agreement to those of **Sonesson et al.** (2012) regarding the genetic gain both with genomic- and  
277 pedigree-based selection procedures and with those of **Bastiaansen et al.** (2012), where higher accuracies  
278 were obtained for the genomic methods than for traditional pedigree-based BLUP.

## 4.2 ON COANCESTRIES AND INBREEDING

279 As we have shown in Fig. 4, the largest increases in coancestries, and similarly for inbreeding, is for  
280 the genealogical coancestry compared to other genomic measures of coancestry. At the same time,  
281 this increase in genealogical coancestry is larger with traditional pedigree-based BLUP than for any  
282 other BLUP here performed. This is in line with what **Sonesson et al.** (2012) obtained using BLUP  
283 combined with optimal contributions to control the increase in inbreeding, that the rate of increase  
284 in pedigree coancestry is higher for the pedigree-based selection scenario than for the genome-based  
285 selection approaches. This can be observed regardless the population size, the true heritability, or the  
286 density of markers. **Bastiaansen et al.** (2012) showed similar differences between traditional pedigree-  
287 based and genomic-based BLUP. They also showed how this difference built up with generations and was  
288 hardly noticeable after one round of selection. This study showed that the increase in inbreeding hardly  
289 depended on the genomic architecture of the selected trait, which is in line with what we observe in Fig. 4,  
290 where the increase in coancestry seems independent of the marker density or the heritability of the trait.  
291 In agreement with **Bastiaansen et al.** (2012), we have also shown that genomic-based BLUPs can track  
292 Mendelian sampling within families, which is not possible with genealogical-based BLUP. Our results  
293 are apparently in contrast with the recent study of **Liu et al.** (2014), who obtained a lower increase in  
294 inbreeding for the larger heritability 0.25 in their study compared to that obtained for  $h^2 = 0.05$ .

295 **Liu et al.** (2014) debated whether using genealogical records would be a good measure of inbreeding, as  
296 it reflects expected relationships and not the actual ones. They proposed measuring inbreeding then based  
297 on runs of homozygosity, and obtained that genomic-based BLUPs lead to lower increases on genealogical  
298 inbreeding as compared to phenotype BLUP, but this was not the case for inbreeding measured with ROHs.  
299 Our results for  $f_R$  are very similar to those here presented for  $f_A$ , and thus in the scenarios here studied,  
300 all genomic measures lead to lower increases in inbreeding whether we measure it with genealogies or  
301 with ROHs.

302 Our results show that the increase in genealogical coancestry seems slightly larger for ROH-based BLUP  
303 as compared to the other genomic-based BLUPs, although the differences are small.

## 4.3 ON DIVERSITY MAINTAINED

304 It is well known that selection reduces variation around the selected loci due to hitchhiking (**Maynard-**  
305 **Smith and Haigh**, 1974; **Liu et al.**, 2014; **Heidaritabar et al.**, 2014). Thus, if we aim at maintaining  
306 diversity while selecting favourable variants, it is important to understand which selection strategy works  
307 better overall. We evaluated  $f_G$  as a measure of diversity maintained in the selection procedures simulated  
308 in the present study indicated that all genomic estimates maintained more variability than the pedigree-  
309 based ones. This result is in agreement with those also observed using simulated data but in the context  
310 of conservation programmes (**de Cara et al.**, 2011), and with previous results in genomic selection (**Liu**  
311 **et al.**, 2014).



312 An interesting study by **Jannink** (2010) showed that more variation could be maintained by placing  
313 more weight on favourable variants that are at low frequencies. This can potentially maintain more  
314 diversity both on the selected loci and on neutral loci. According to that study, this strategy leads to  
315 larger gains in the long term, and thus this strategy could be optimal depending on how long is the long  
316 term. Based on this study, it would be worthwhile studying whether placing weight on rare haplotypes  
317 could lead to a compromise between genetic gains and diversity maintained.

318 The study by **Heidaritabar et al.** (2014) has shown that changes in allelic frequencies are more localised  
319 around the selected loci with genomic based BLUP, while pedigree based BLUP leads to similar changes  
320 throughout the genome. Thus, it seems that genomic selection can lead to quick losses in genetic variation  
321 in specific regions of the genome, and thus great care is required if these regions provide potential  
322 adaptation of the breed.

323 In agreement with (**Liu et al.**, 2014), we have obtained that a larger heritability leads to larger  
324 decreases in diversity maintained when selecting with traditional BLUP. Similarly to what happened with  
325 genealogical inbreeding, the loss of diversity does not seem to depend on heritability when selecting with  
326 genomic-based BLUPs.

327 Interestingly, ROH-based BLUP seems to lead to slightly larger losses in diversity than the other  
328 genomic BLUPs, but massively smaller than pedigree BLUP. Consequently, a deep study of the factors  
329 involved in the definition of a run of homozygosity could help to improve the genetic gain obtained with  
330 this estimator while also keeping the a very high genetic variability.

331 In conclusion, in this study conventional pedigree based selection, which has been used for decades,  
332 results in similar genetic gains and does not maintain as much genetic variability as the genomic based  
333 selection methods. These results highlight the utility of genomic selection and also the need to manage  
334 the population variability using genomic information to preserve the future success of selection programs.

## AUTHOR CONTRIBUTIONS

335 All authors designed the study, performed the simulations and wrote the manuscript.

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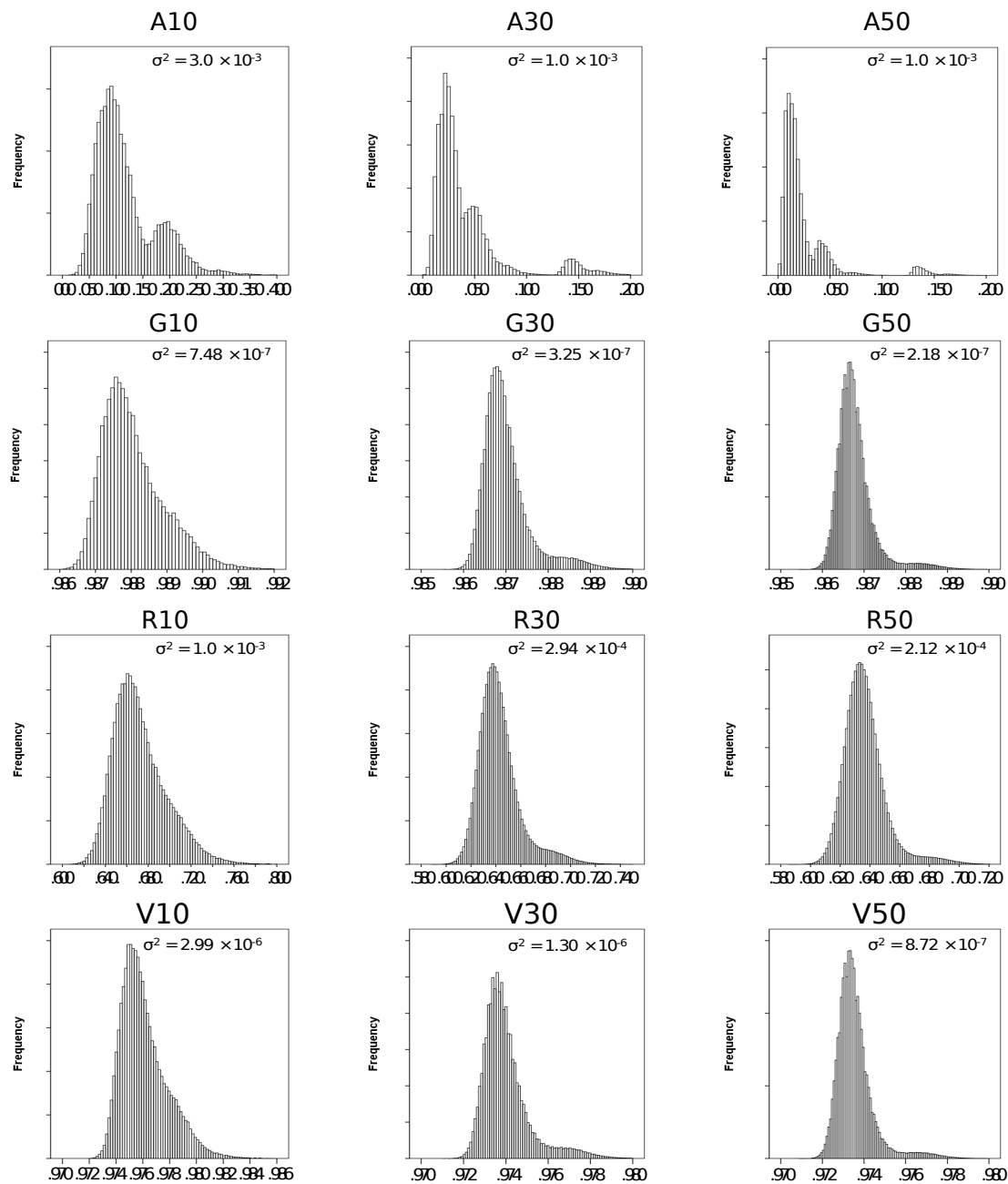
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	$N$			$h^2$			SNPs		
	10	30	50	0.10	0.25	0.50	2525	5050	10100
$N$	10	30	50	50	50	50	50	50	50
$h^2$	0.25	0.25	0.25	0.10	0.25	0.50	0.25	0.25	0.25
SNPs	10100	10100	10100	10100	10100	10100	2525	5050	10100

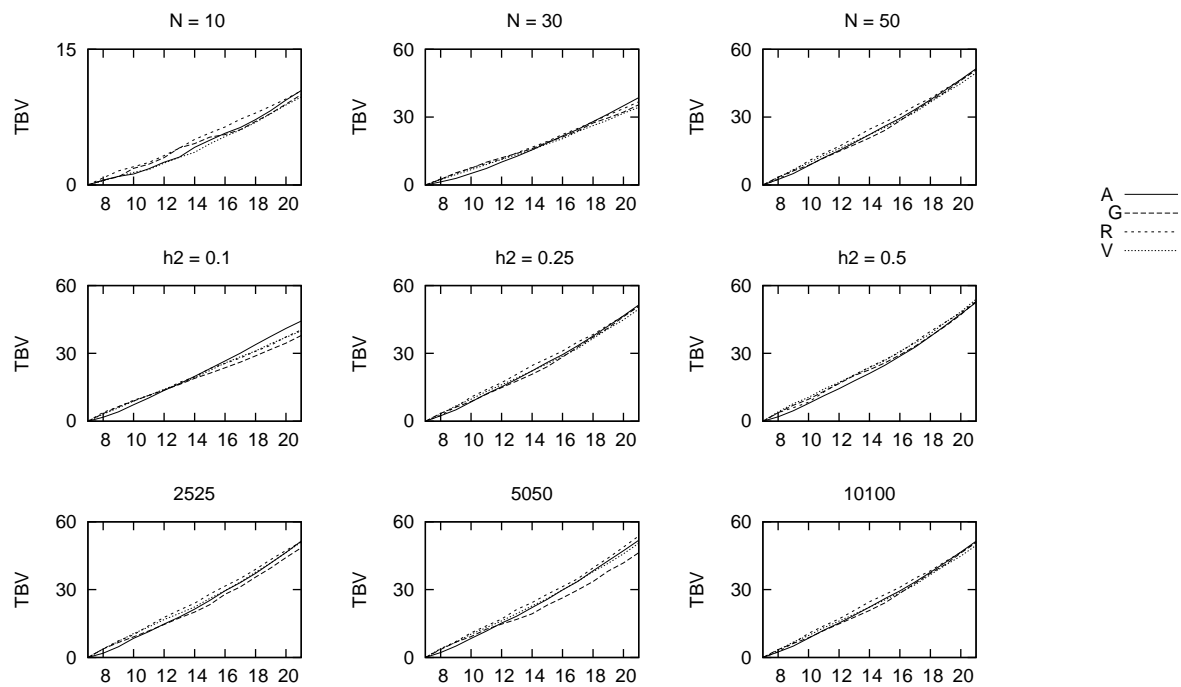
Table 1 Parameters simulated for the different scenarios



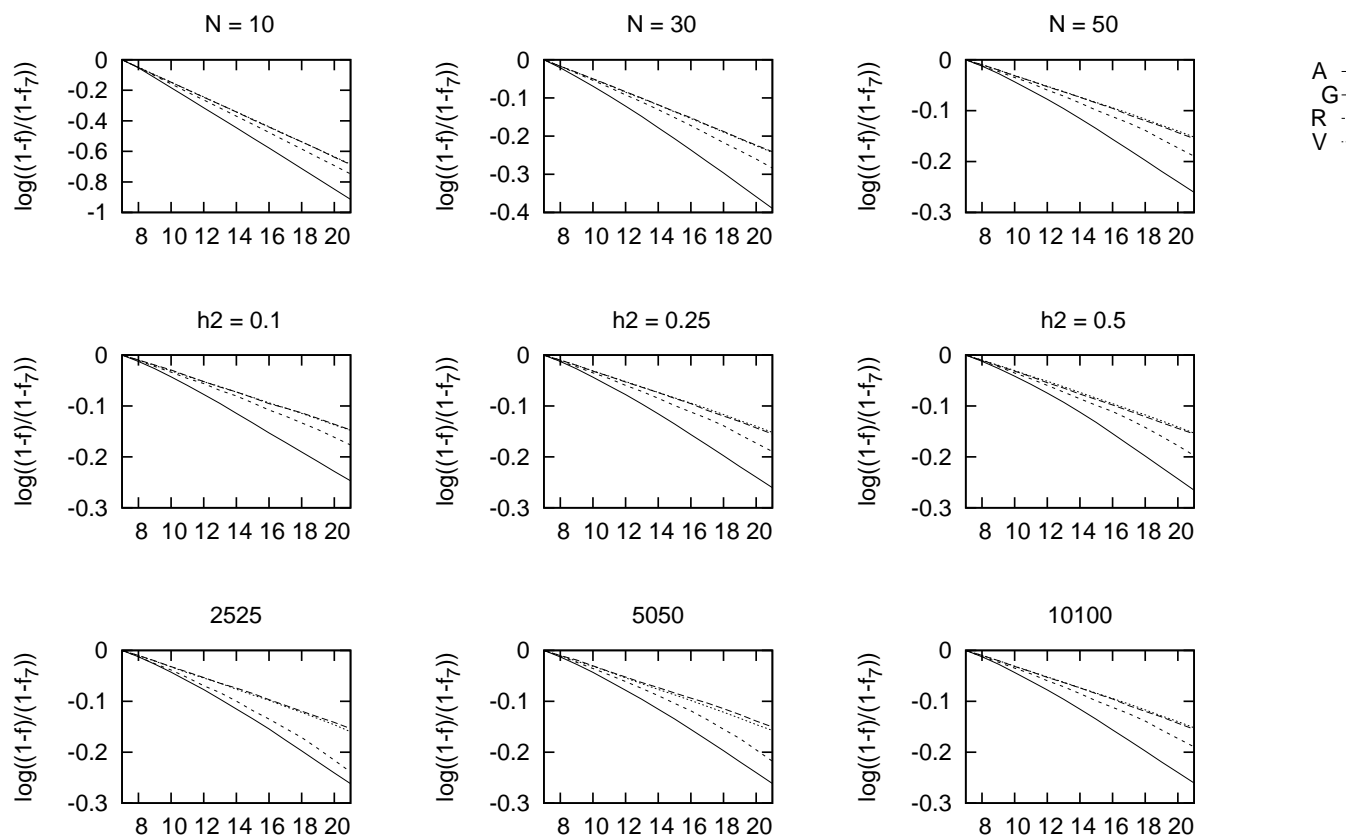
**Figure 1.** Histograms of the coancestries at generation 6 right before selection. Top row shows the histogram for  $f_A$  for 10, 30 and 50 individuals from left to right. Similarly, the second row shows the histogram for  $f_G$ , the third row for  $f_R$  and the fourth and bottom row for  $f_V$ . The variance of each histogram is given within each plot.

## FIGURES

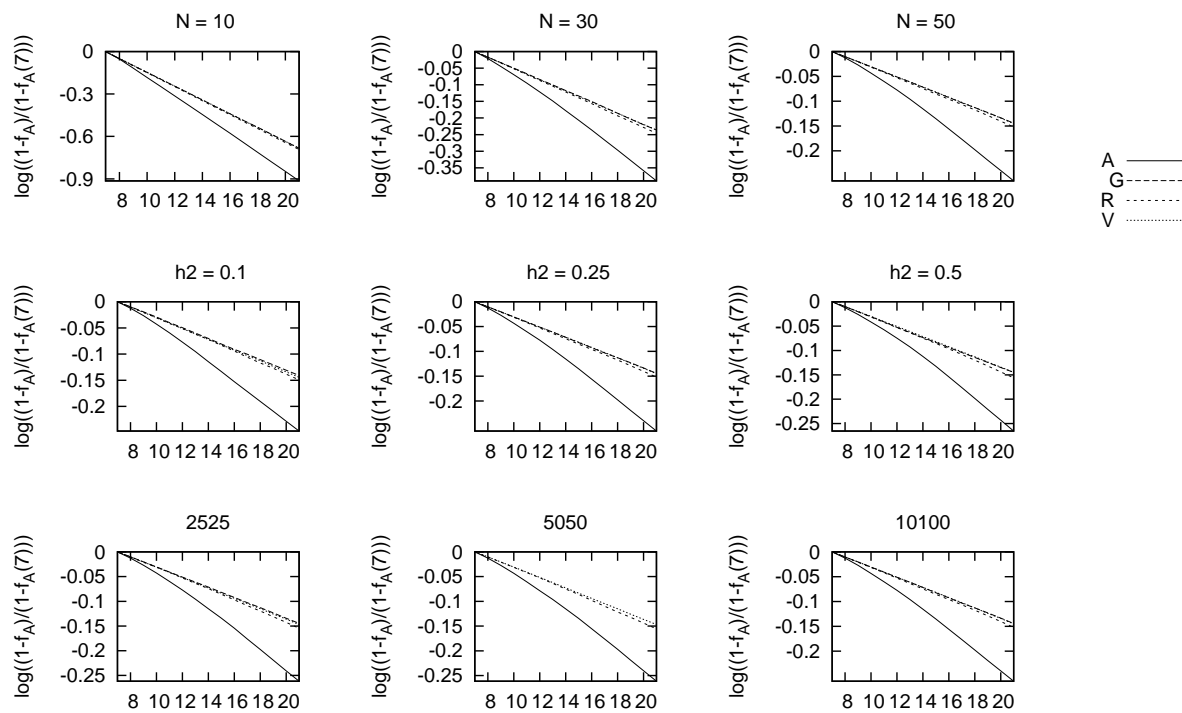




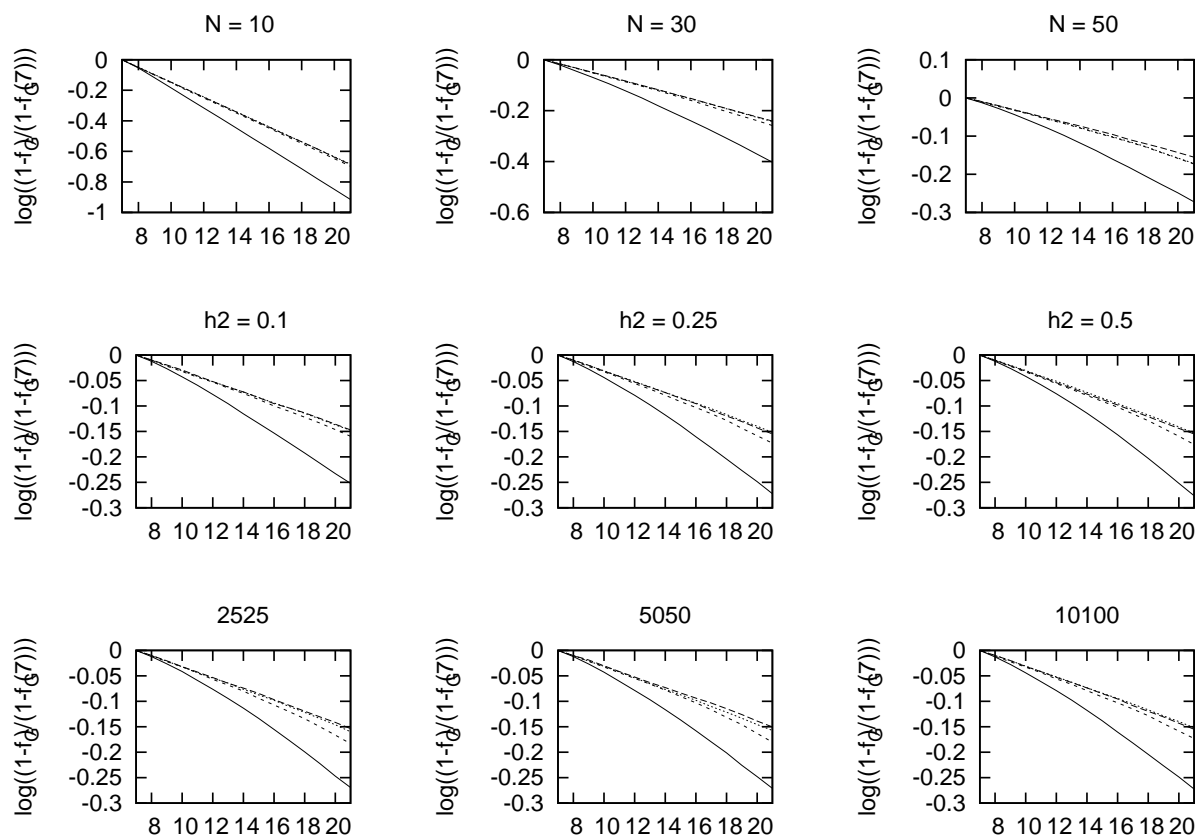
**Figure 2.** Mean true breeding values (TBV) for different marker densities (bottom row), heritability (middle row) and population size (top row) versus generations of selection. TBV values are shown minus the value right before truncation selection started.



**Figure 3.** Change in each coancestry for different marker densities (bottom row), heritability (middle row) and population size (top row) versus generations of selection. The change in each coancestry is shown as  $\log\left(\frac{1-f}{1-f_7}\right)$ .



**Figure 4.** Changes in genealogical coancestry for different marker densities (bottom row), heritability (middle row) and population size (top row) versus generations of selection. The change in each coancestry is shown as  $\log\left(\frac{1-f_A}{1-f_A(7)}\right)$ , where  $f_A$  is the genealogical coancestry at each generation and  $f_A(7)$  is the value before selection starts.



**Figure 5.** Changes in molecular coancestry as a measure of change in diversity for different marker densities (bottom row), heritability (middle row) and population size (top row) versus generations of selection. This change is shown as  $\log\left(\frac{1-f_G}{1-f_G(7)}\right)$ , where  $f_G$  is the value of molecular coancestry at each generation and  $f_G(7)$  is the value before selection starts.

Figure 1.TIFF

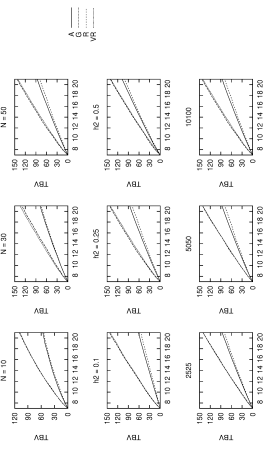




Figure 2.TIFF

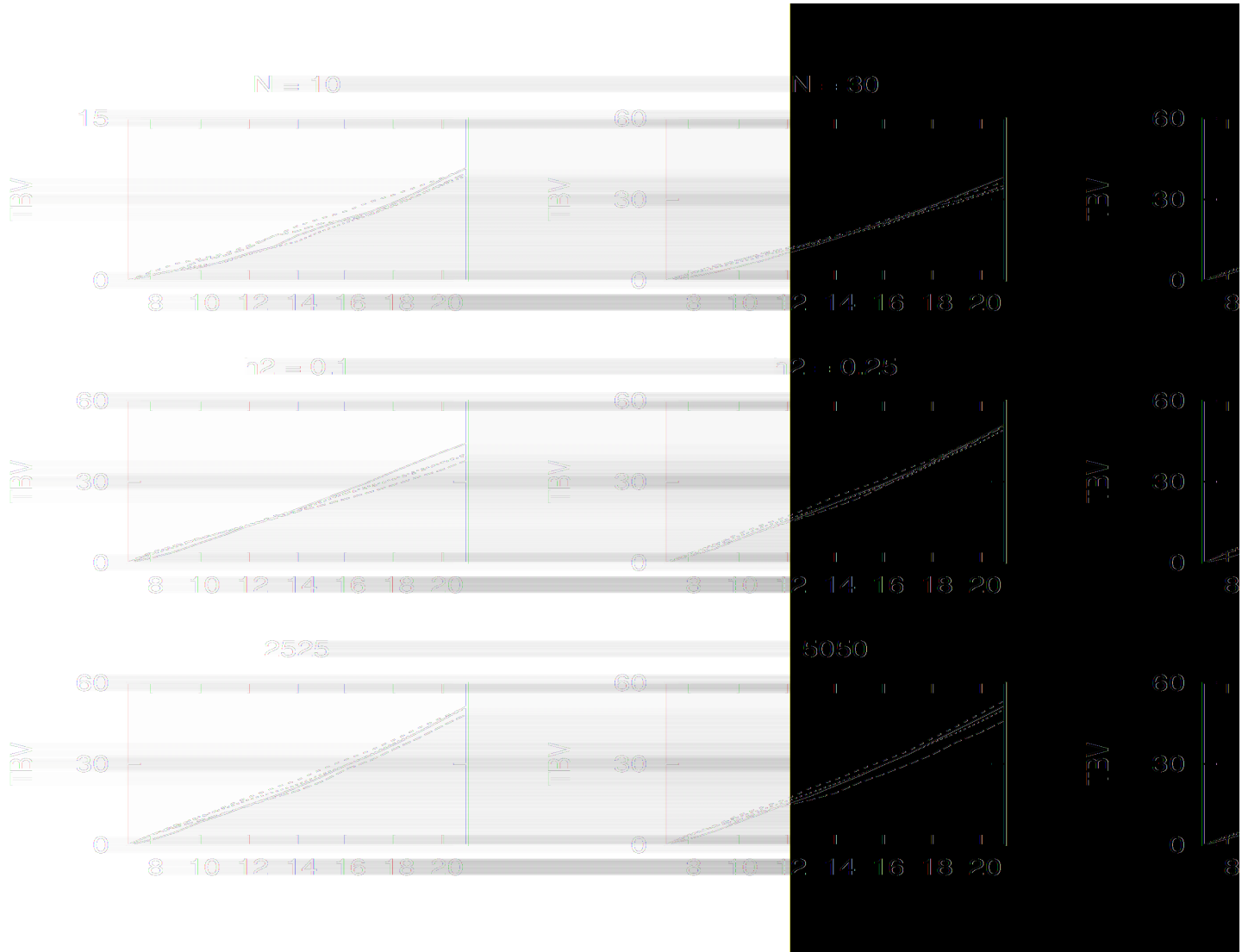


Figure 3.TIFF

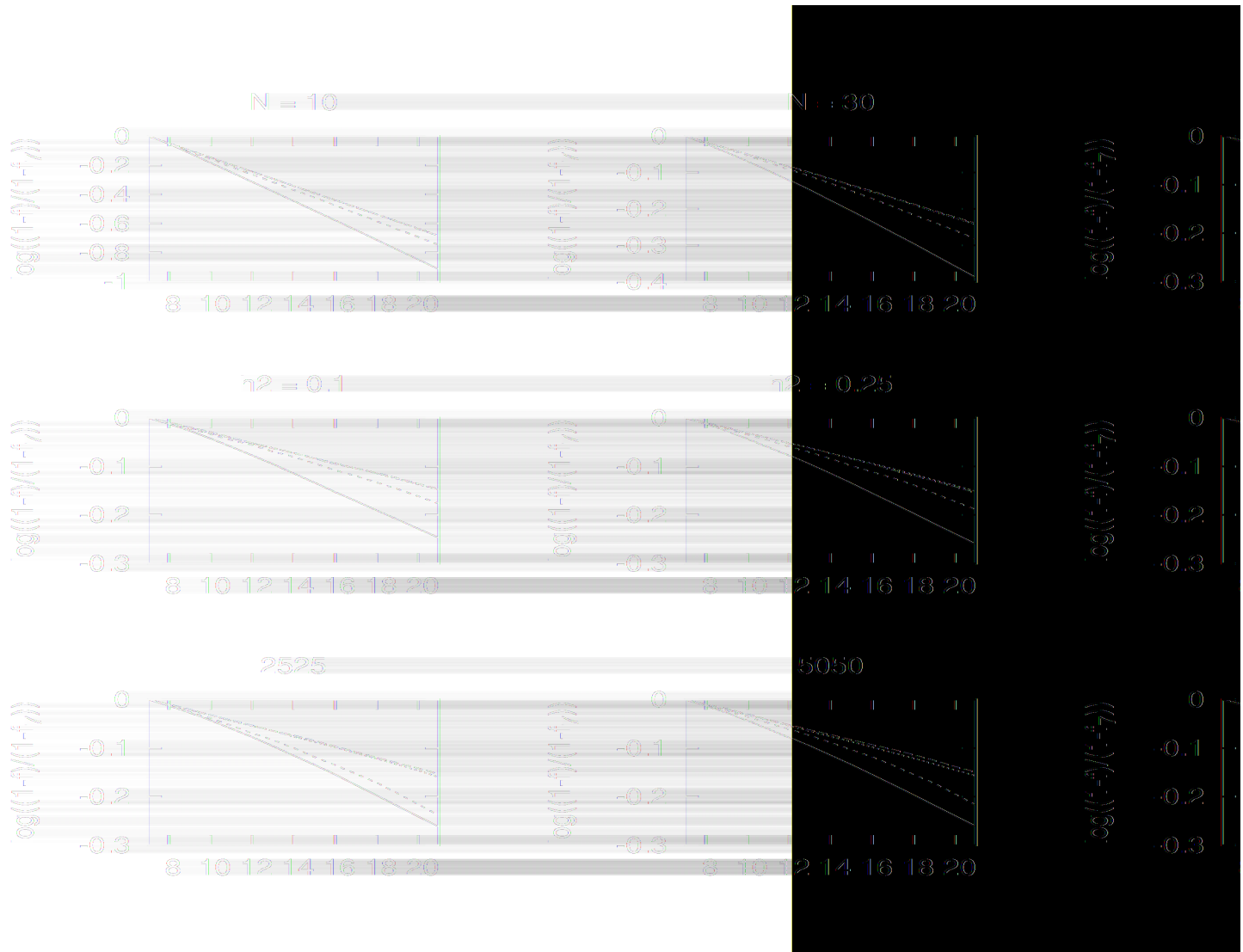


Figure 4.TIFF

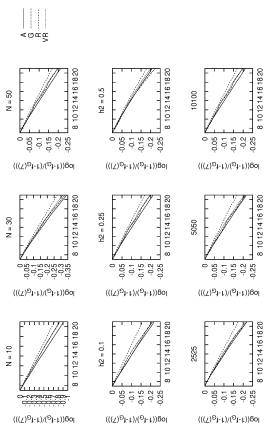


Figure 5.TIFF

